



Department of Information Optics, Faculty of Physics  
University of Warsaw

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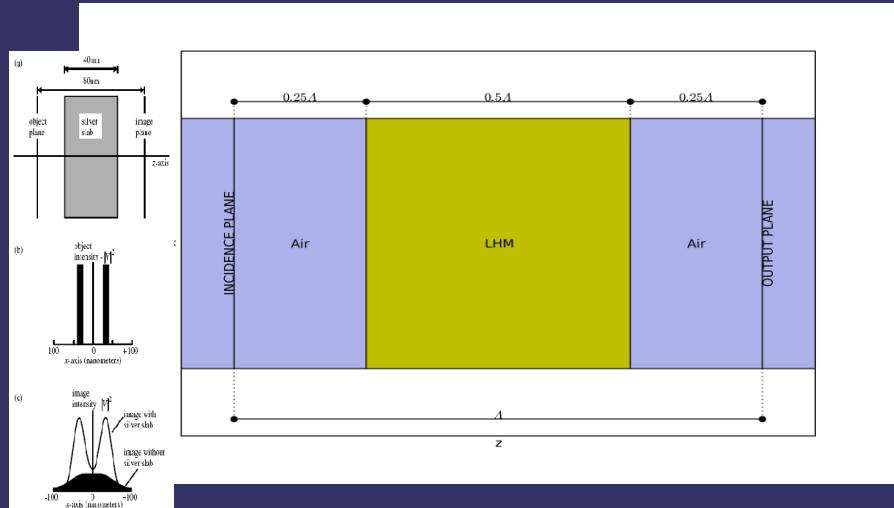
# **Light transformations in metallo-dielectric nanolayers**

NUSOD'08, Numerical Simulation of Optoelectronic Devices  
1-5 September 2008, Nottingham, UK

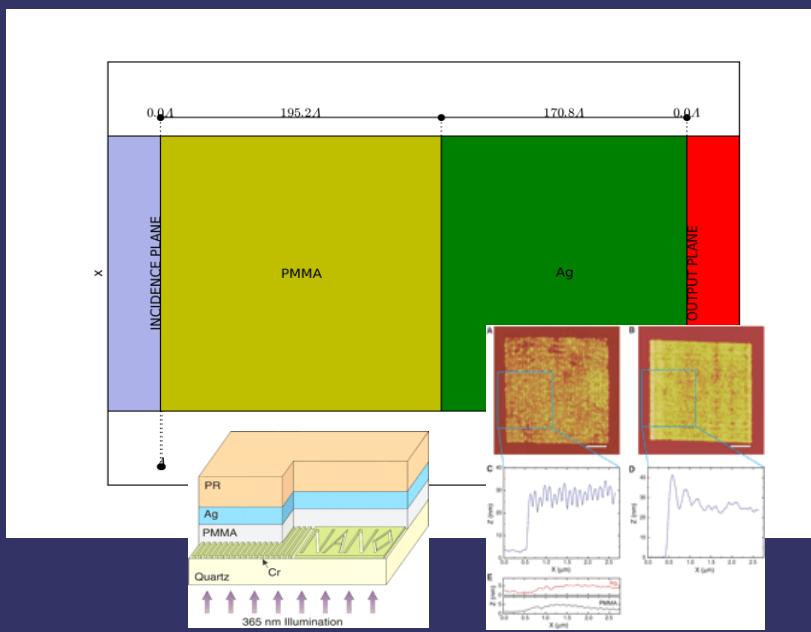
**Rafał Kotyński**

# 1D Metallo-Dielectric Multilayers

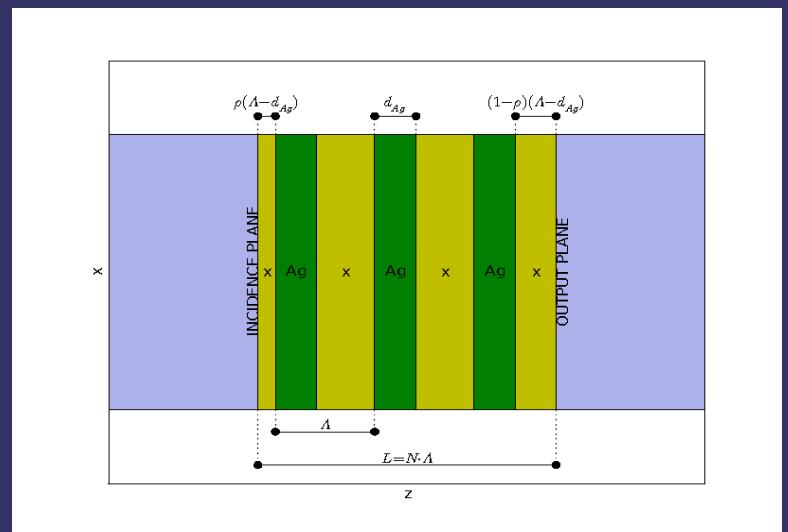
Single Ag or LHM layer (Veselago, Pendry)



Asymmetric lens (Ramakrishna, Pendry, Schurig, Smith, Schultz, Fang, Zhang...)



- Resonant tunnelling (Scalora, Sibilia, ...)
- Canalization (Belov, ...)
- Layered lens (Pendry, ...)



# Linear Shift Invariant Systems (LSI)

INPUT PLANE

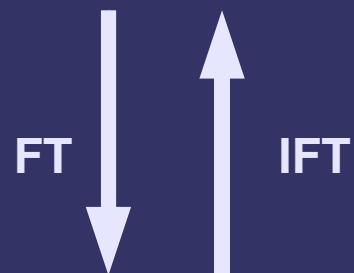
$$E(x)$$

Linear shift-invariant system

OUTPUT PLANE

$$E'(x)$$

SPATIAL DOMAIN:



$$E'(x) = H(x) * E(x)$$

PSF - Point Spread Function

convolution

SPATIAL FREQUENCY DOMAIN:

$$\hat{E}'(k_x) = \hat{H}(k_x) \cdot \hat{E}(k_x)$$

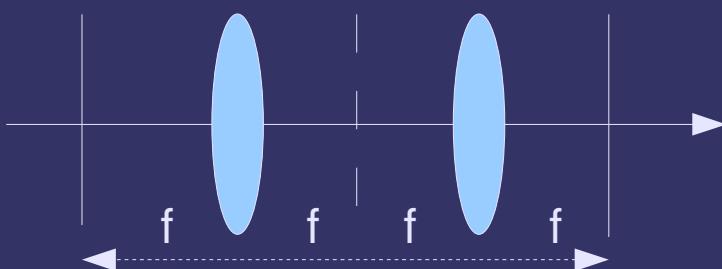
MTF - Modulation Transfer Function

multiplication

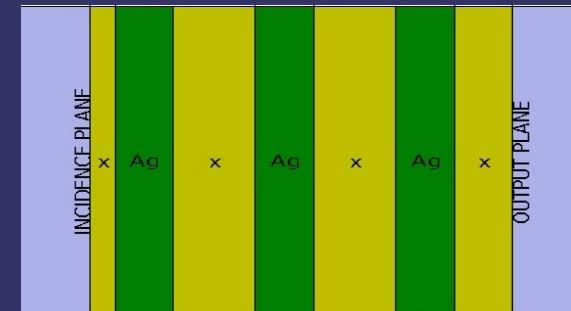
# Applications of spatial filtering

## Fourier optics

4f correlator



## Fourier plasmonics (?)



Macro-scale → Nano-scale

- ✓ 1. Imaging (superresolution)

$$\hat{H}_{norm} = \exp(-k_x^2 / 2\sigma_k^2) \quad \text{small } \sigma_k$$

✓ superlens

- ✓ 2. Laplace filtering (edge detection)

$$\hat{H}_{\delta^2} = -\alpha k_x^2$$

?

- ✓ 3. Beam splitting

$$\hat{H}_{spl} = \cos(k_0 x_0)$$

?

- ✓ 4. Wiener filtering (noise removal)

$$\hat{H}_{Wiener} = (1 + |\hat{f}|^2 / |\hat{n}|^2)^{-1}$$

?

- ✓ 5. Matched filtering (pattern recognition)

$$\hat{H}_{CMF} = \hat{f}^*$$

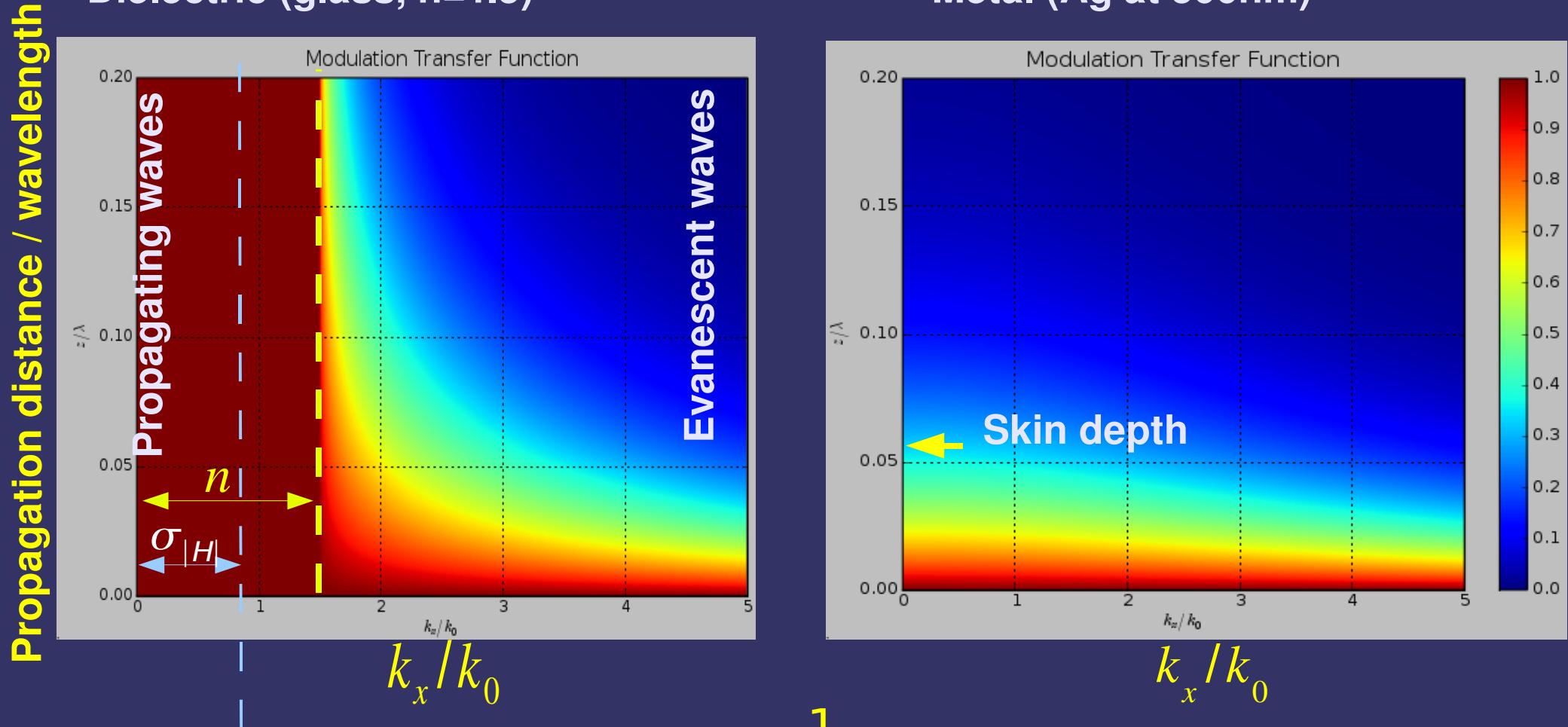
?

# MTF – infinite uniform medium

$$\hat{H}_z(k_x) = \exp\left(i z \sqrt{n^2 k_0^2 - k_x^2}\right)$$

Dielectric (glass,  $n=1.5$ )

Metal (Ag at 500nm)



Uncertainty relation (1D):  $\sigma_{|H|^2} \cdot \sigma_{|\hat{H}|^2} \geq \frac{1}{2}$

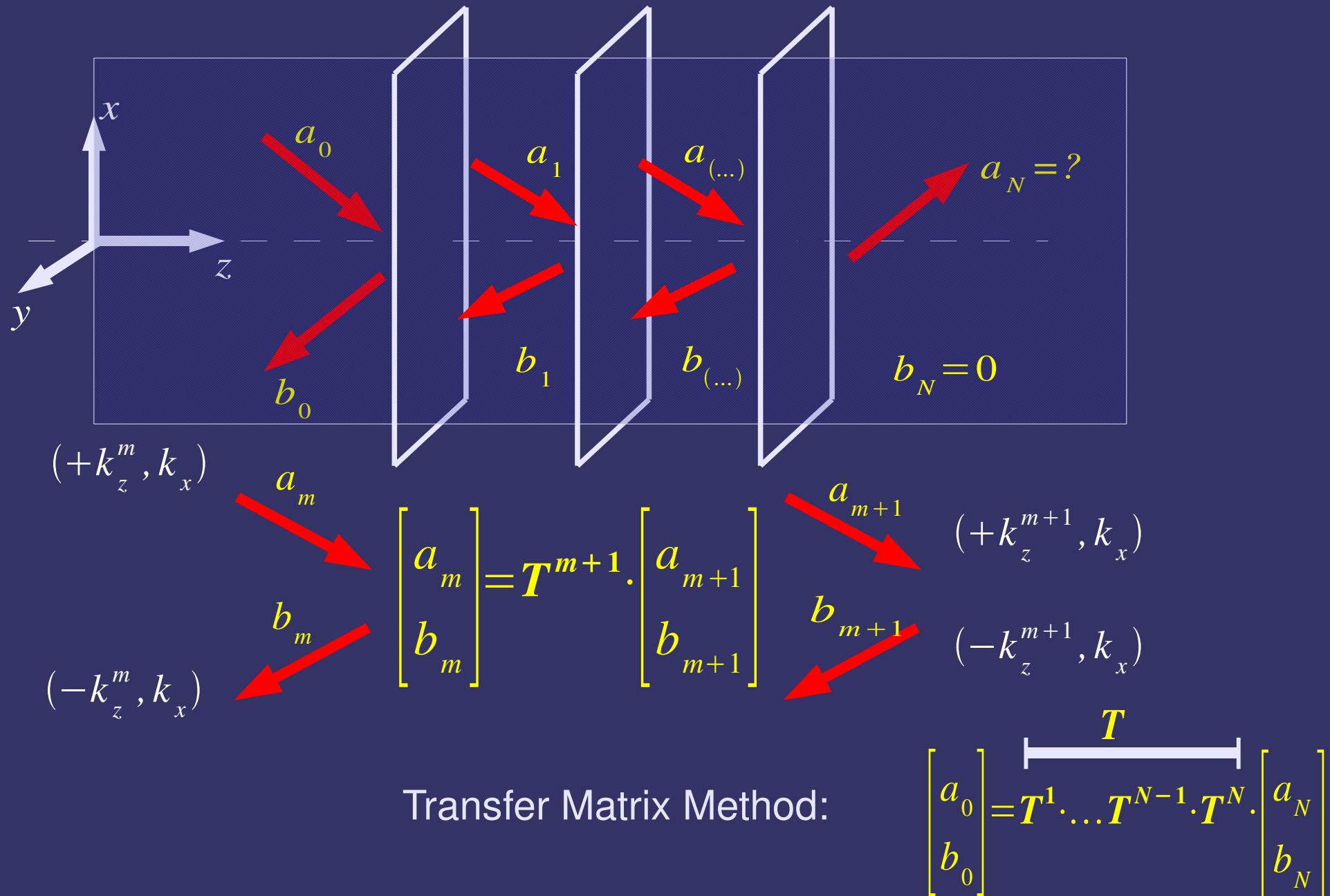


Diffraction limit:

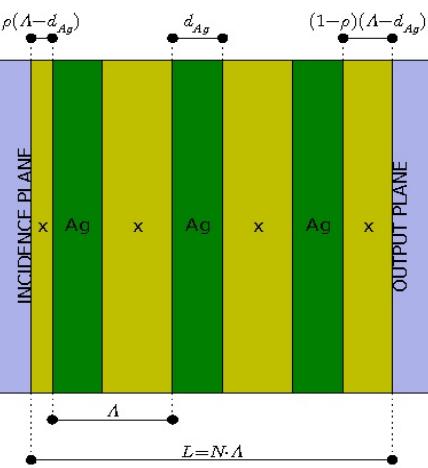
$$2.36 \sigma_H \geq 0.5 \frac{\lambda}{n}$$

# Layered structures

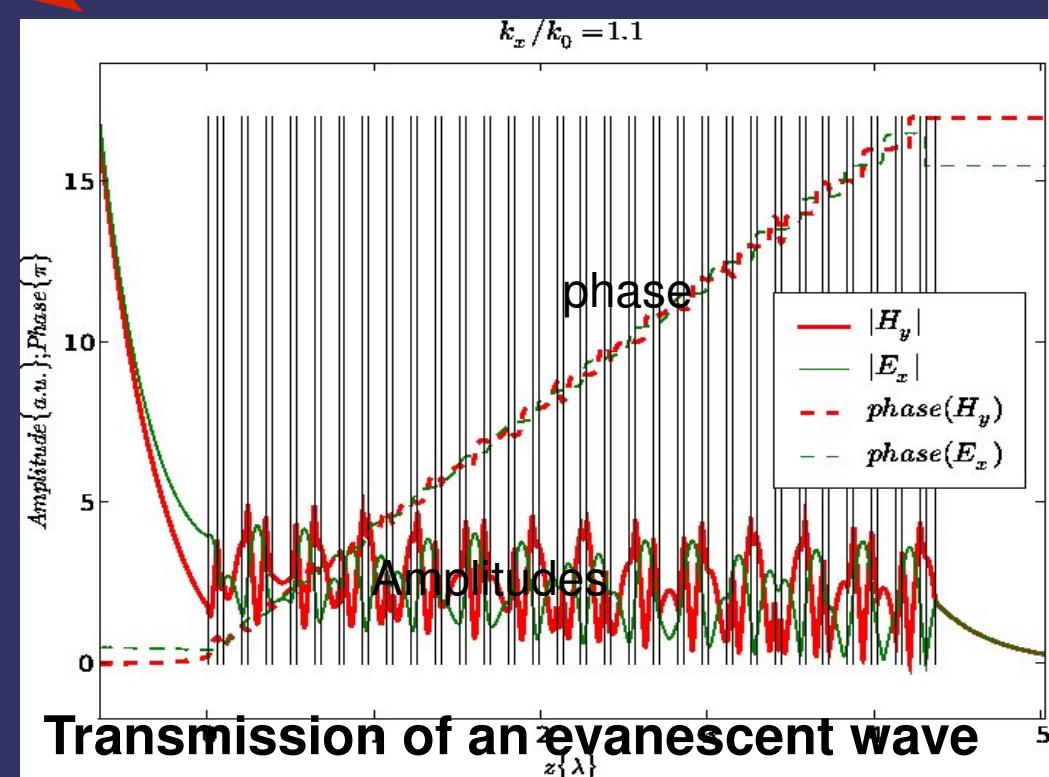
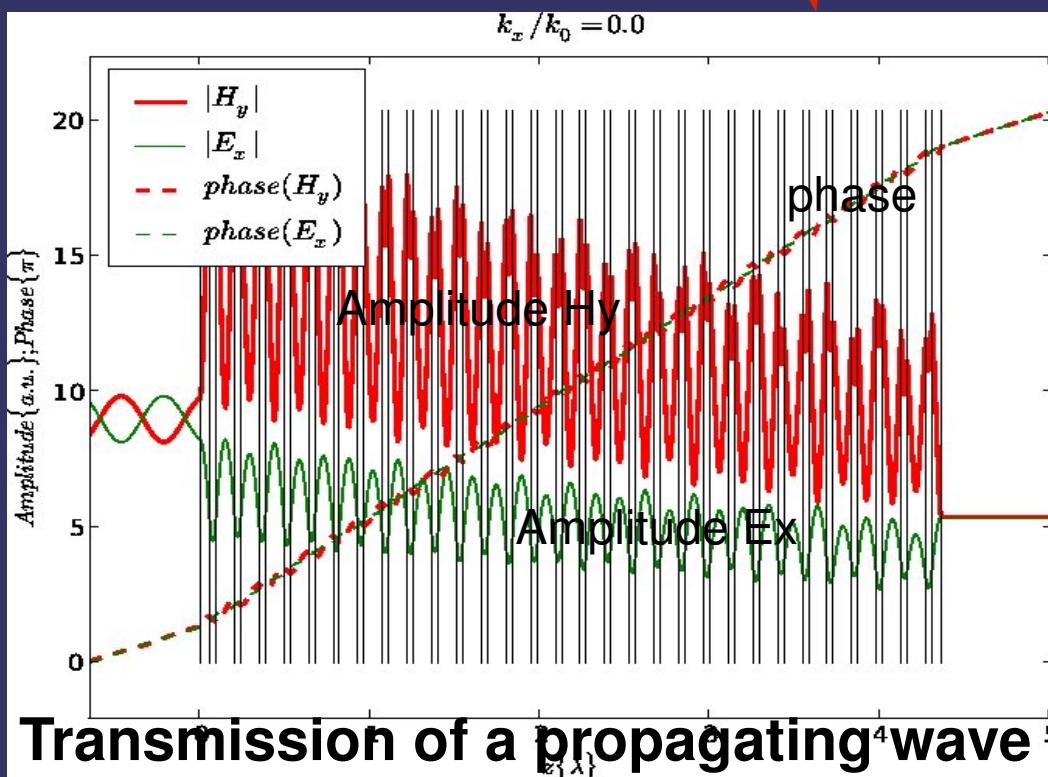
## Transfer Matrix Method



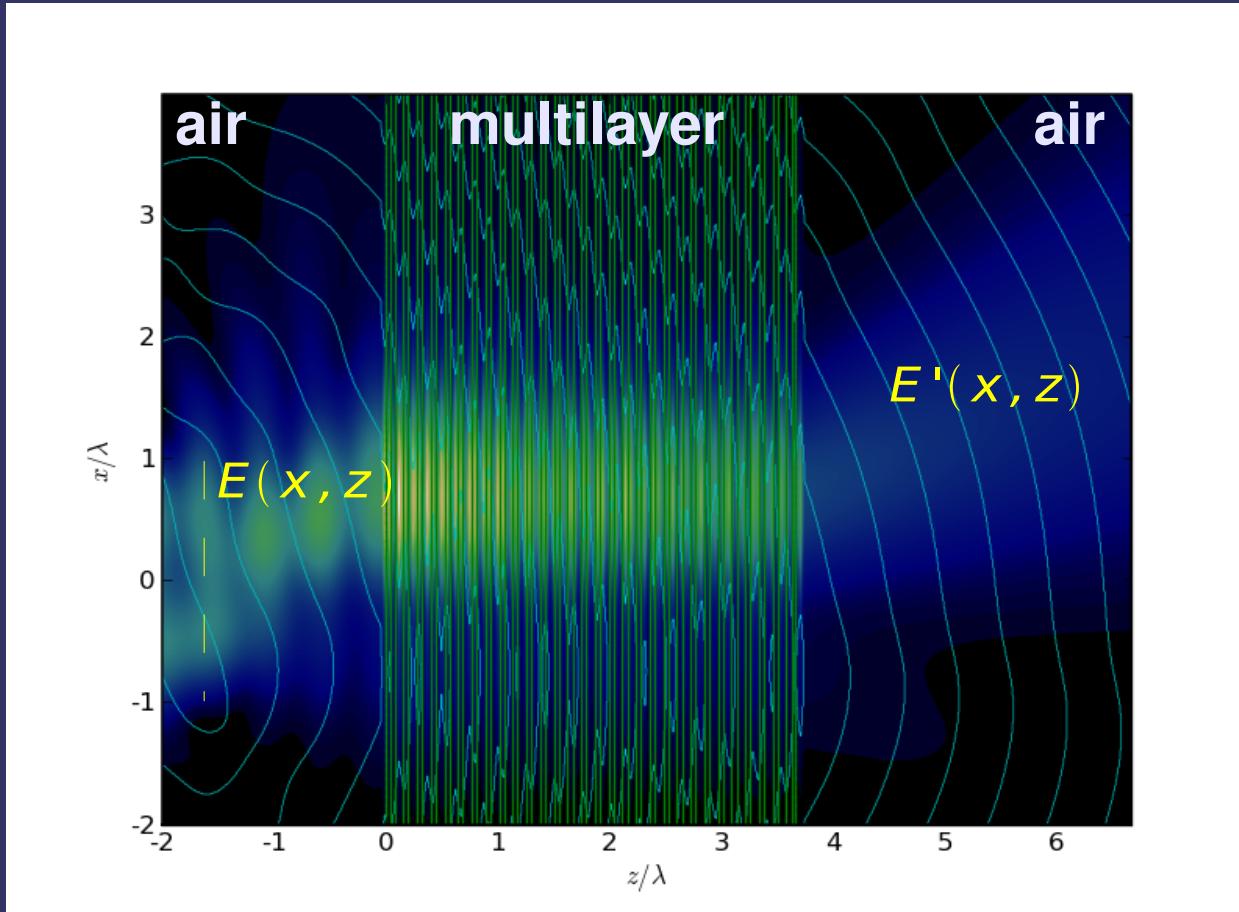
# TMM – plane wave transmission



TMM



# TMM – 2D transmission (stationary state)



- Decomposition of the source into plane waves + TMM + reconstruction

$$E(x, z) = \int \underline{\hat{E}(k_x)} \exp(ix k_x + iz \sqrt{k_0^r n^2 - k_x^2}) dx$$

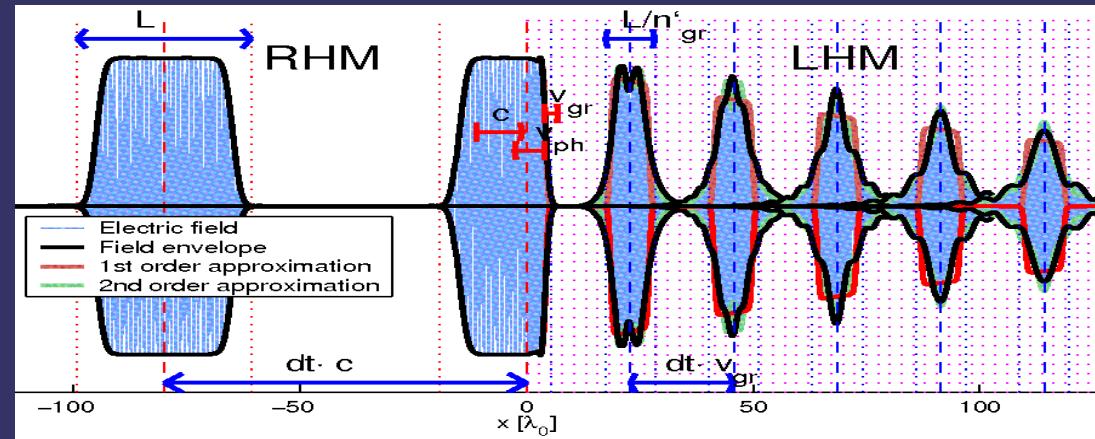
↓  
**TMM**

$$E'(x, z) = \int \underline{\hat{E}'(k_x)} \exp(ix k_x + iz \sqrt{k_0^2 n^2 - k_x^2}) dx$$

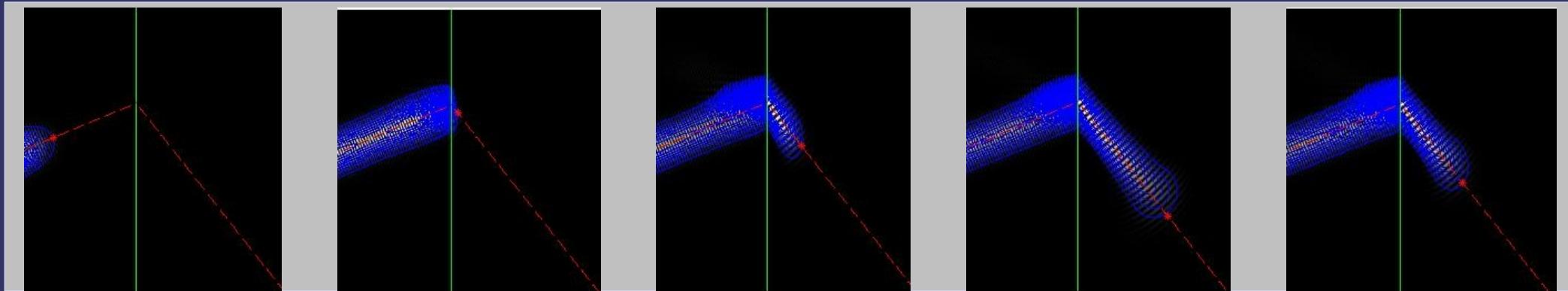
- Orders of magnitude faster than FDTD

# TMM – time domain simulation

Dispersive reshaping of a 1D pulse at a RHM/LHM boundary



Dispersive reshaping of a 2D wavefront at a RHM/LHM boundary



- Decomposition of the source into plane waves + TMM + reconstruction

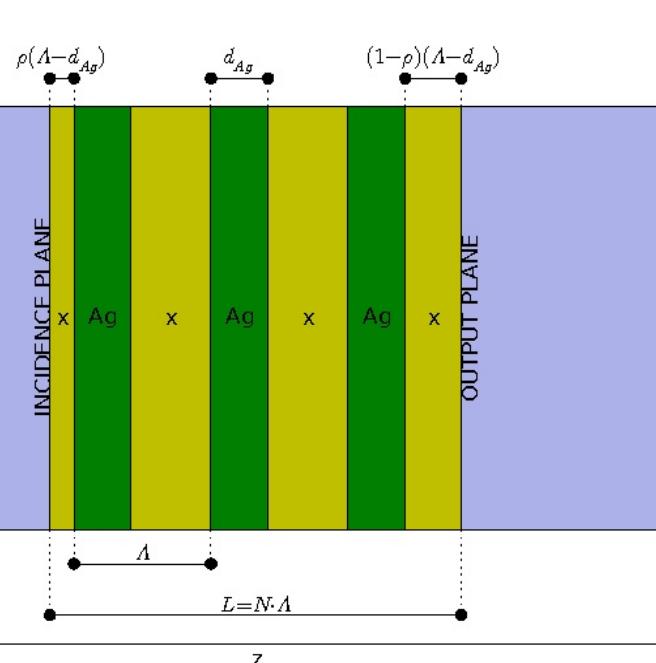
$$E(x, z, t) = \Re \int \int \hat{E}(k_x, \omega) \exp(ix k_x + iz \sqrt{k_0^2 n^2 - k_x^2} - i\omega t) dx d\omega$$

↓  
**TMM**

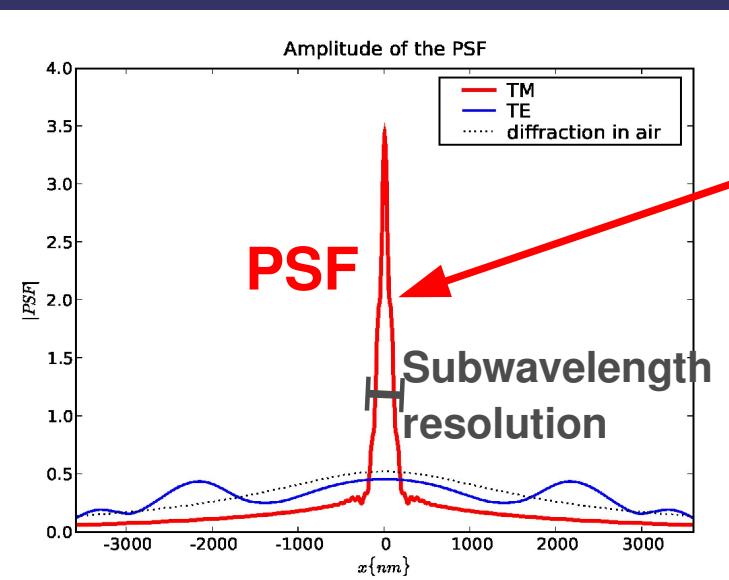
$$E'(x, z, t) = \Re \int \int \hat{E}'(k_x, \omega) \exp(ix k_x + iz \sqrt{k_0^2 n^2 - k_x^2} - i\omega t) dx d\omega$$

- Still a lot faster than FDTD

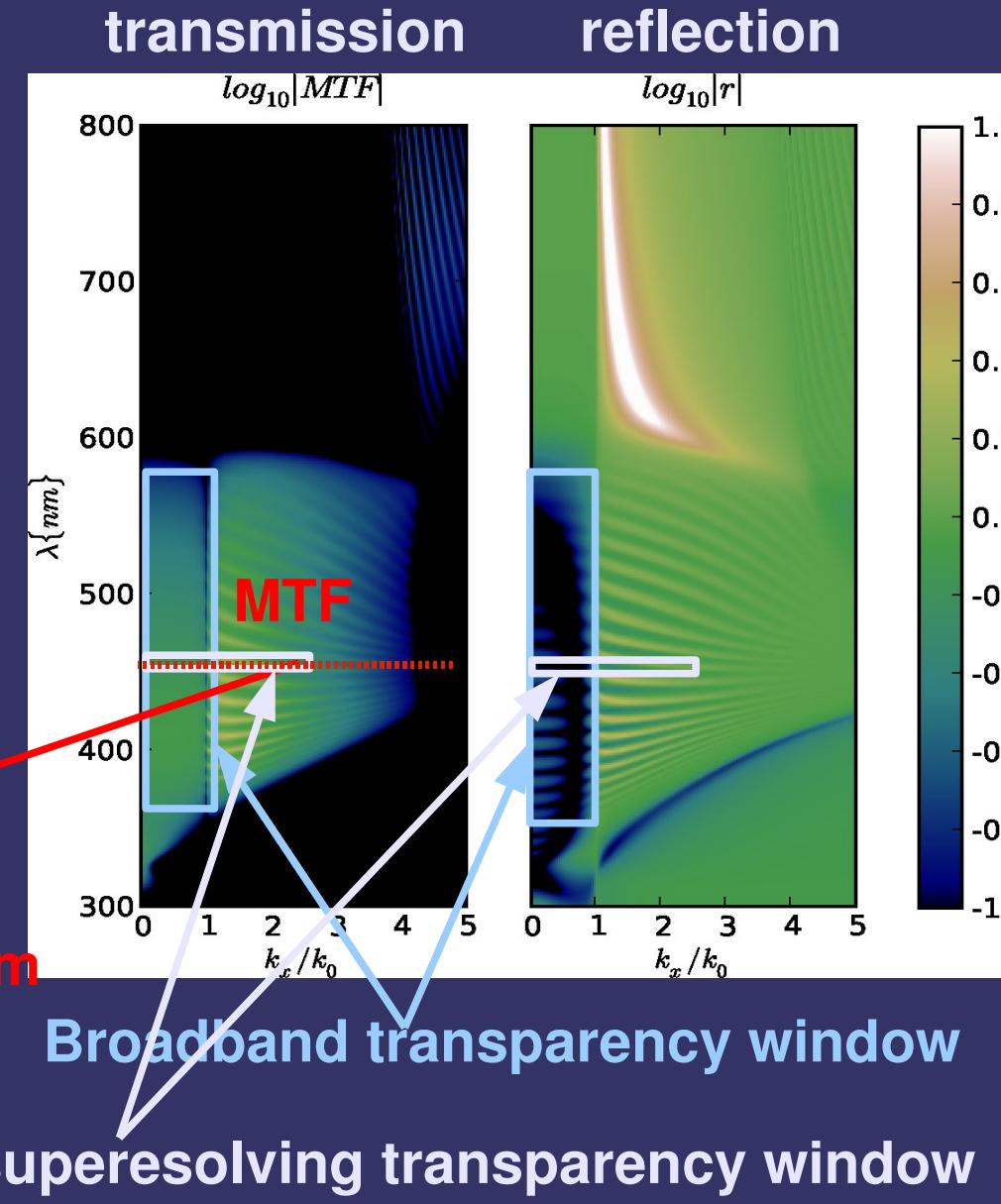
# TMM – calculation of the Modulation Transfer Function and Point Spread Function



TMM



Fourier  
Transform



# Full 3D imaging couple TE/TM spatial harmonics

Vectorial treatment:

$$E'(\mathbf{x}, \mathbf{y}) = \mathbf{H}(\mathbf{x}, \mathbf{y}) * E(\mathbf{x}, \mathbf{y})$$

vector      matrix      vector

$$\mathbf{H}_\sigma(\rho, \phi) = \mathbf{H}_m(\rho) \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} - \mathbf{H}_\delta(\rho) \begin{bmatrix} 0 & \exp(2i\phi) \\ \exp(-2i\phi) & 0 \end{bmatrix}$$

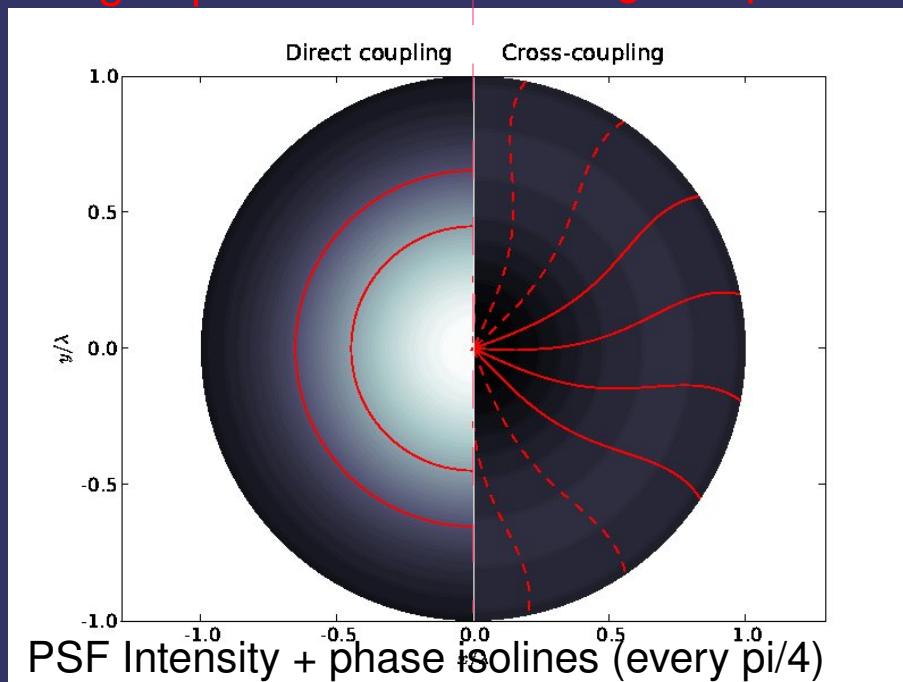
Fourier-Bessel  
Transform of  $(\hat{H}_{TM} + \hat{H}_{TE})/2$

2<sup>nd</sup> order Hankel  
Transform of  $(\hat{H}_{TM} - \hat{H}_{TE})/\gamma$

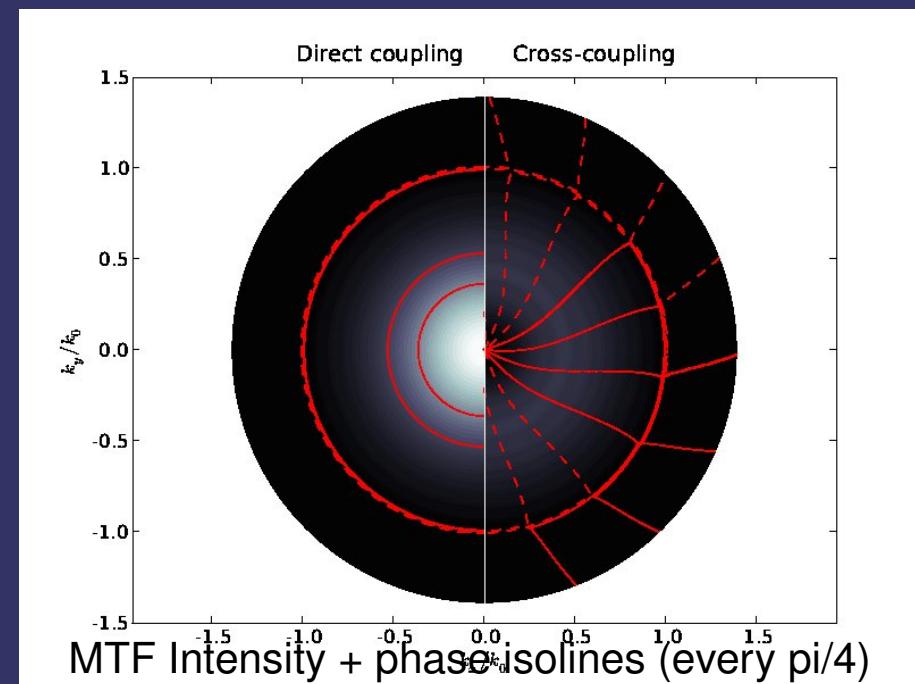
Example: polarisation coupling in 2D for a circularly polarised point signal (imaging through 5 Ag-air 80nm layers)

Unchanged polarisation

Orthogonal polarisation



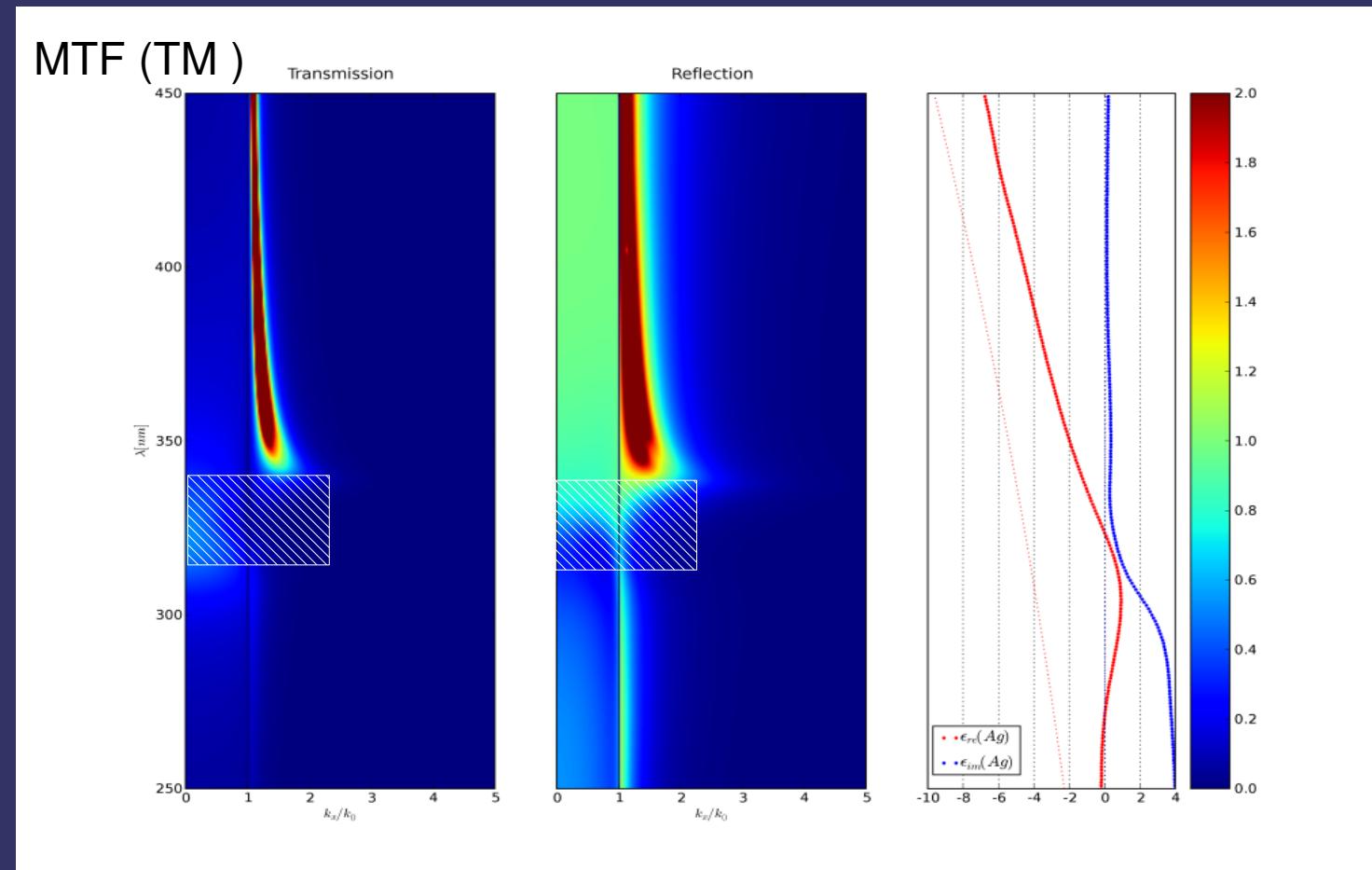
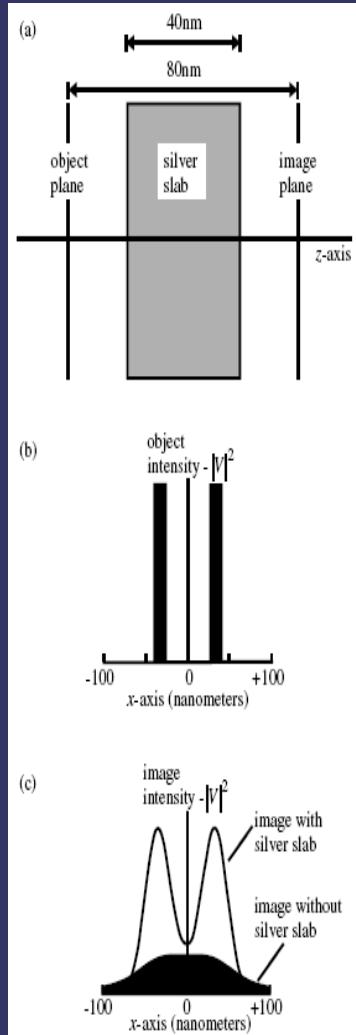
Spatial representation



Spatial frequency representation

# 1. Subwavelength imaging (superresolution)

## Silver superlens



- Operation near the cut-off
- Evanescent wave enhancement with SPP
- Effective permittivity (EMT):  $\epsilon_{\parallel}^{EMT} = 0, \epsilon_{\perp}^{EMT} = \infty$

J.B. Pendry, Phys. Rev. Lett. 85, 3966,  
*Negative refraction makes a perfect lens*  
(2000)

# Point Spread Function of the silver superlens

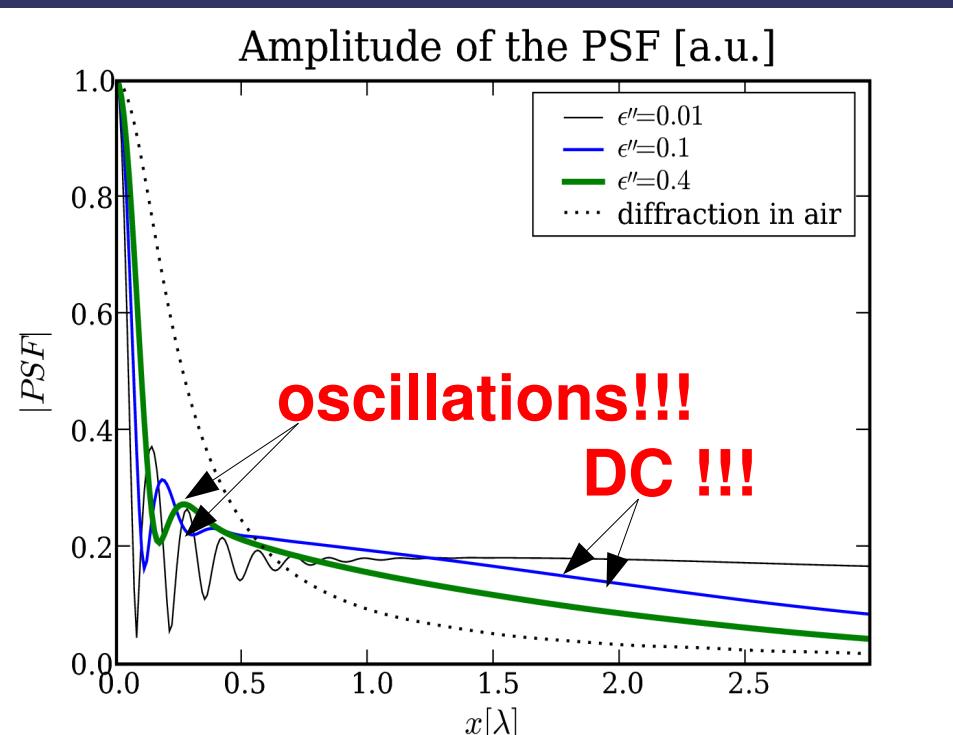
$$H(x) = \frac{1}{2\pi} \int \hat{H}_{TM}(k_x) \exp(ik_x x) dk_x$$

# Problems due to singularities of the MTF

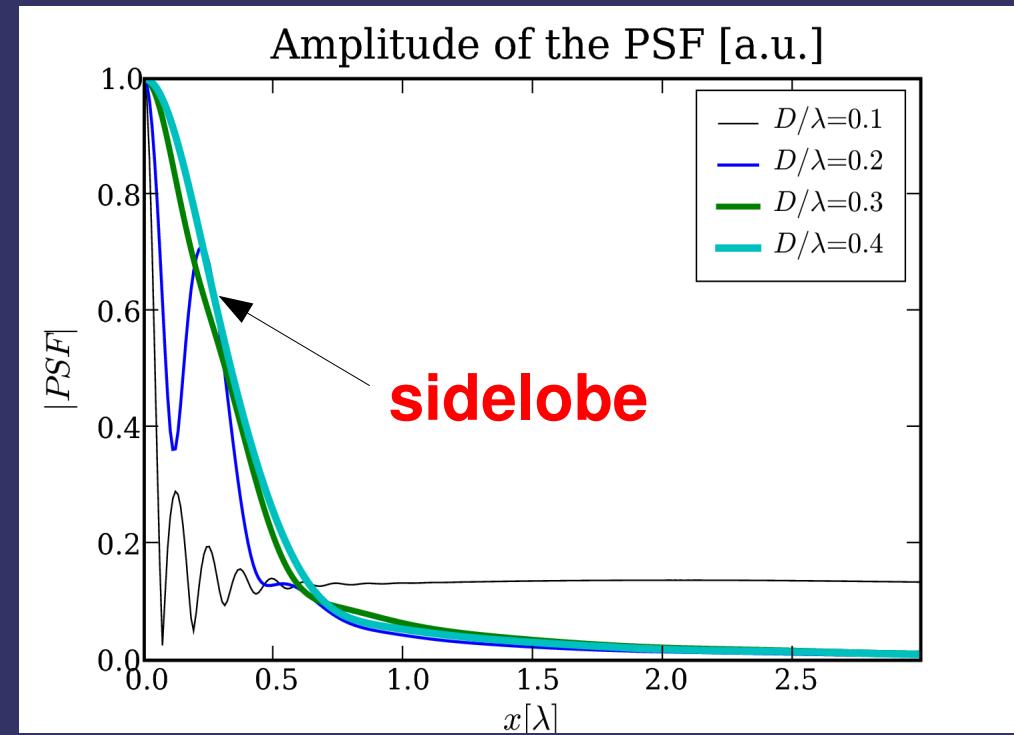
$$PV \int \frac{1}{|k_x| - k_s} \exp(ik_x x) dk_x \longrightarrow \text{MTF} \qquad \text{PSF}$$

## Dependence of the PSF

## - on losses



- on slab thickness

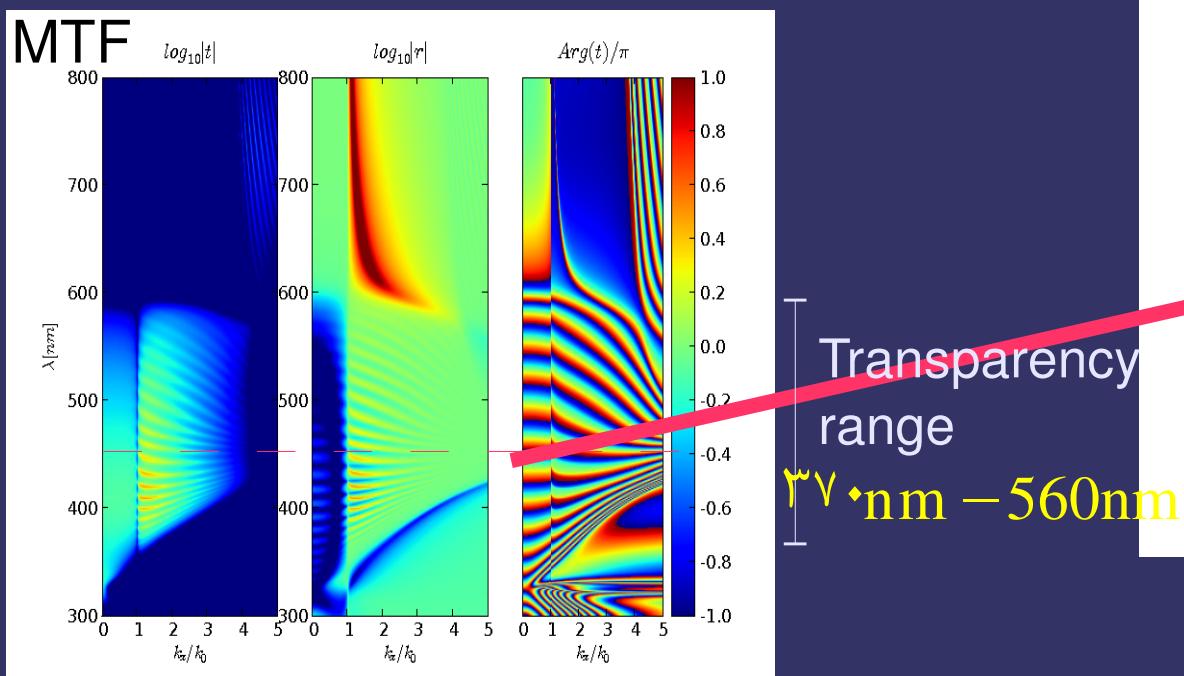


# 1. Subwavelength imaging (superresolution)

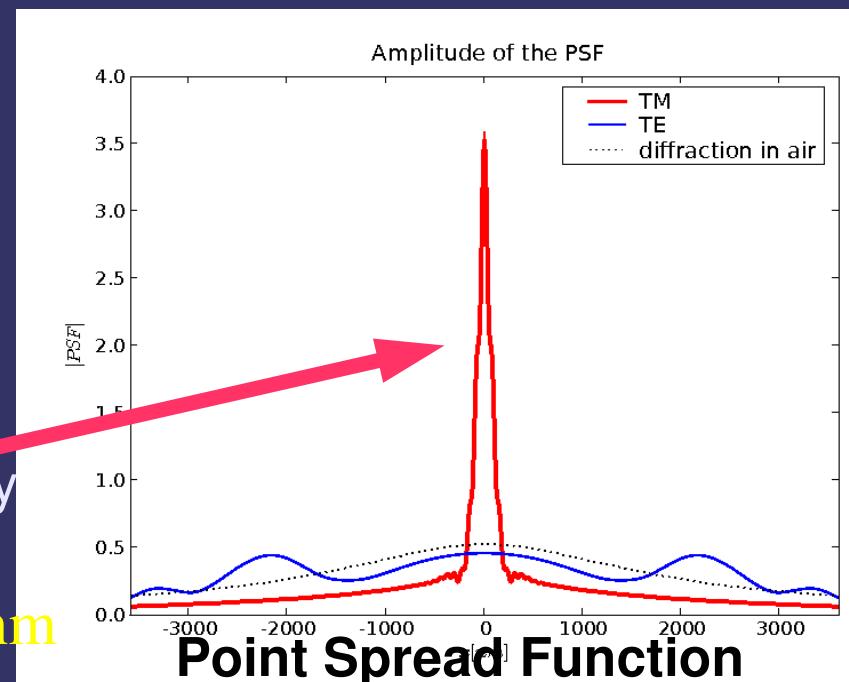
## Resonant tunnelling

M. Scalora et al. "Negative refraction and sub-wavelength focusing in the visible range using transparent metallo-dielectric stacks" Opt Expr., **15**, 508, 2007.

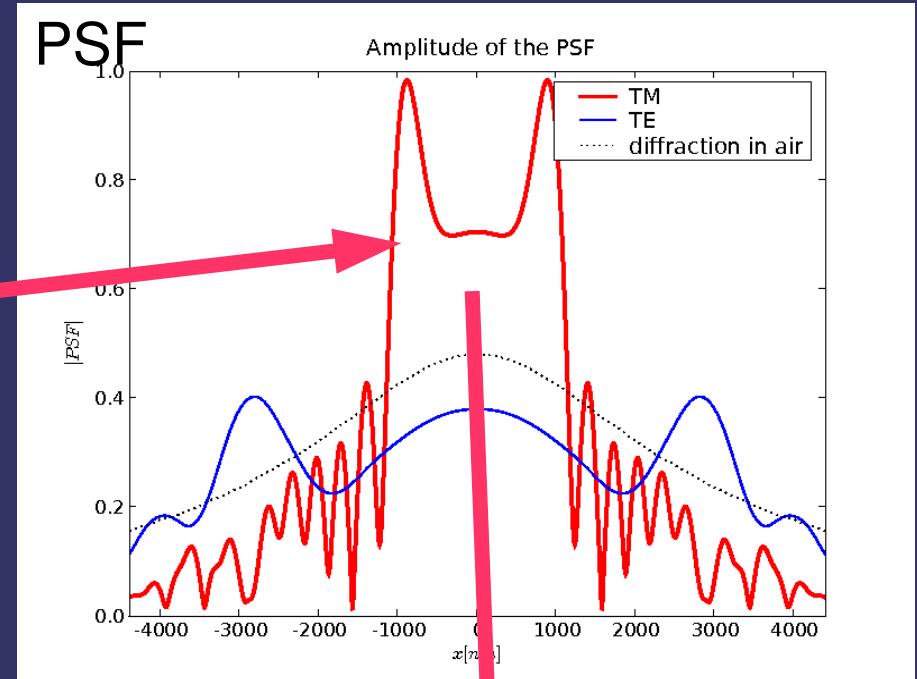
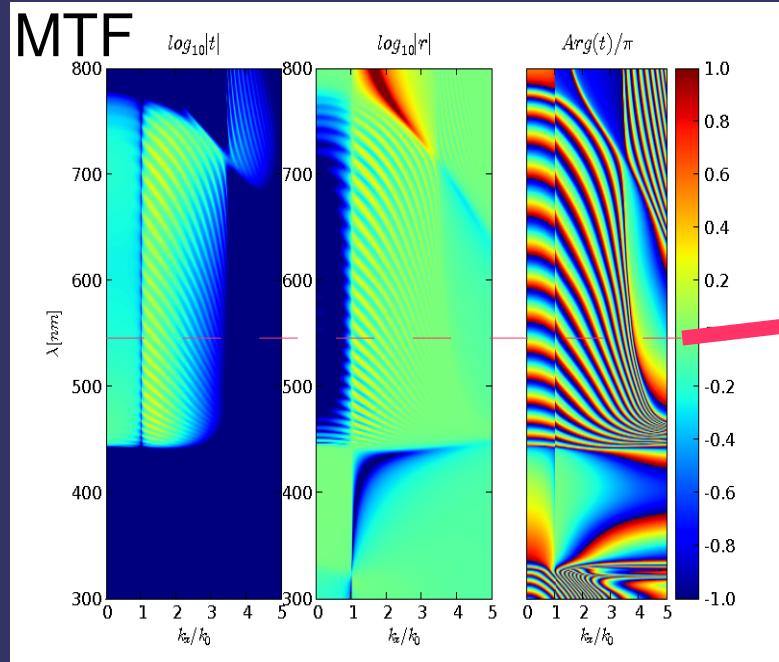
M. Scalora et al. "Transparent metallo-dielectric one dimensional photonic band gap structures" Appl. Phys., **83**, 2377, 1998.



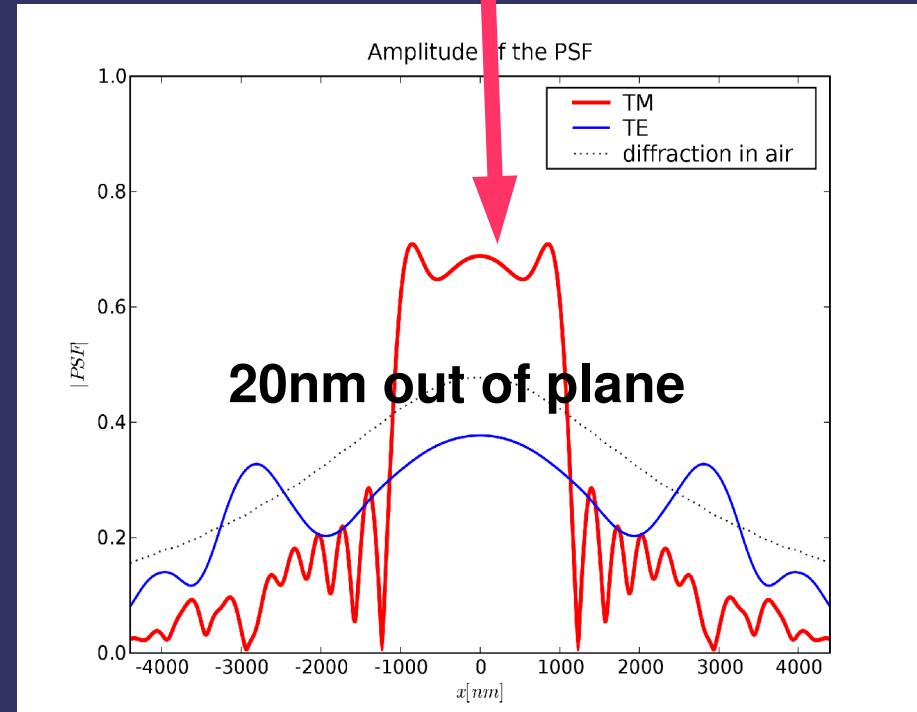
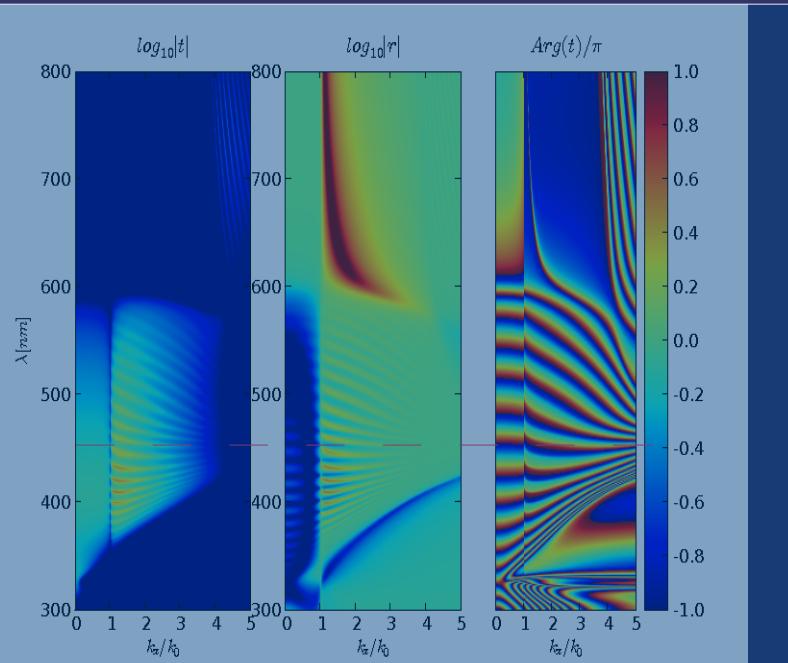
$L=1650\text{nm}$   $\epsilon_1=\epsilon_{Ag}$ ,  $\epsilon_2=8$ ,  $N=30$ ,  $d_1=20\text{nm}$ ,  $d_2=35\text{nm}$



# Resonant tunnelling



$L=2400\text{nm}$   $\epsilon_1=\epsilon_{\text{Ag}}$ ,  $\epsilon_2=8$ ,  $N=30$ ,  $d_1=20\text{nm}$ ,  $d_2=60\text{nm}$



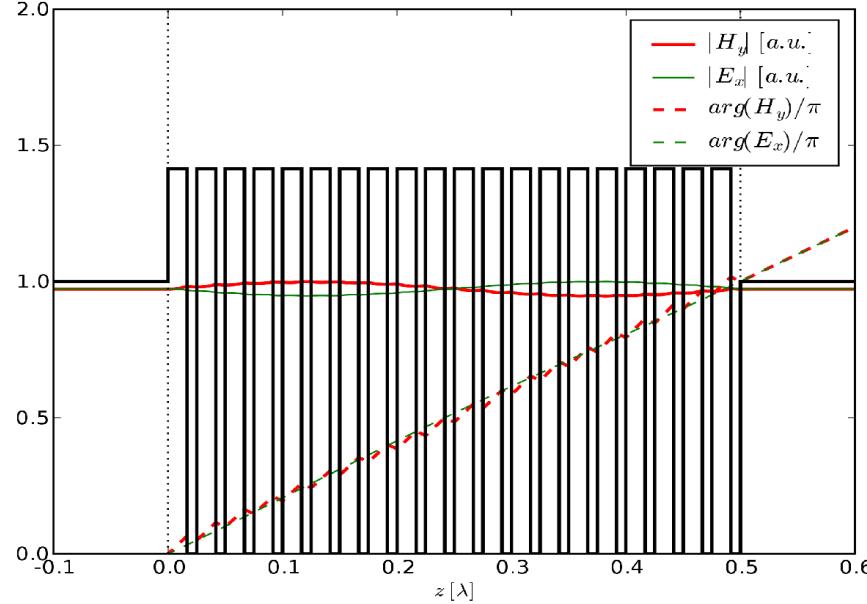
$L=1650\text{nm}$   $\epsilon_1=\epsilon_{\text{Ag}}$ ,  $\epsilon_2=8$ ,  $N=30$ ,  $d_1=20\text{nm}$ ,  $d_2=35\text{nm}$

# 1. Subwavelength imaging (superresolution)

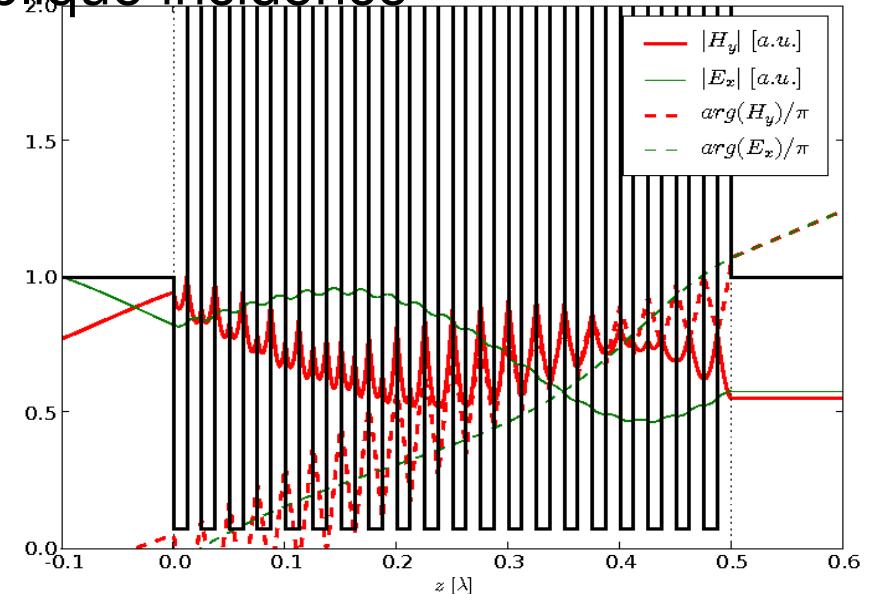
## Canalization

P. Belov, Y. Hao, Phys Rev. 73, 113110 (2006)

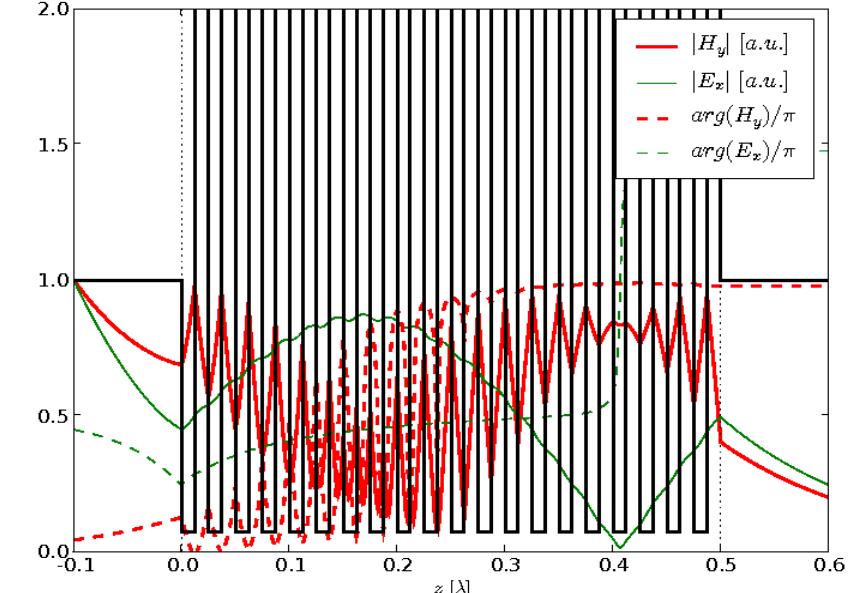
normal incidence  $k_x/k_0=0.0$



oblique incidence  $k_x/k_0=0.5$



evanescent wave  $k_x/k_0=1.5$



The same FP resonant condition for any angle of incidence and for a range of evanescent waves!

$$L/\lambda = m/2$$

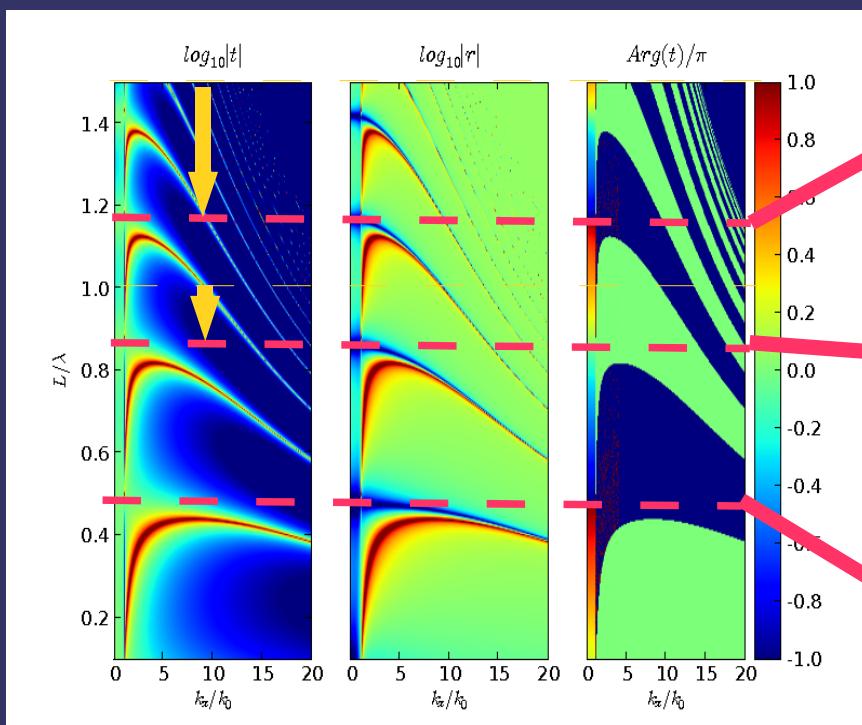
Regime (EMT):  $\epsilon_{||}^{EMT} = 1, \epsilon_{\perp}^{EMT} = \infty$

# Canalization regime – lossless case

Idealised, **lossless** conditions:

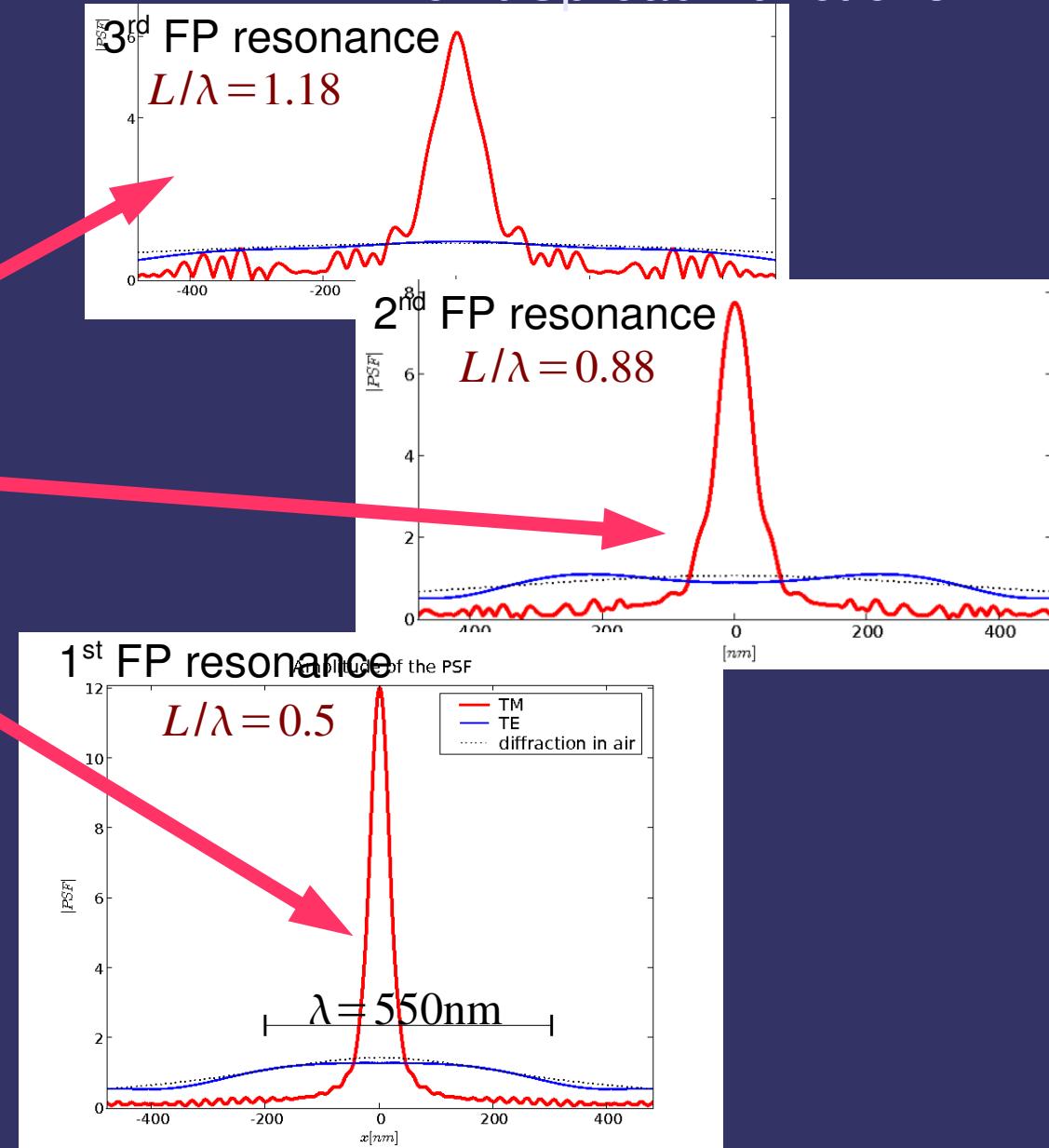
$$\epsilon_{\parallel}^{EMT} = 1, \epsilon_{\perp}^{EMT} = \infty, L/\lambda = m/2$$

Modulation Transfer Function



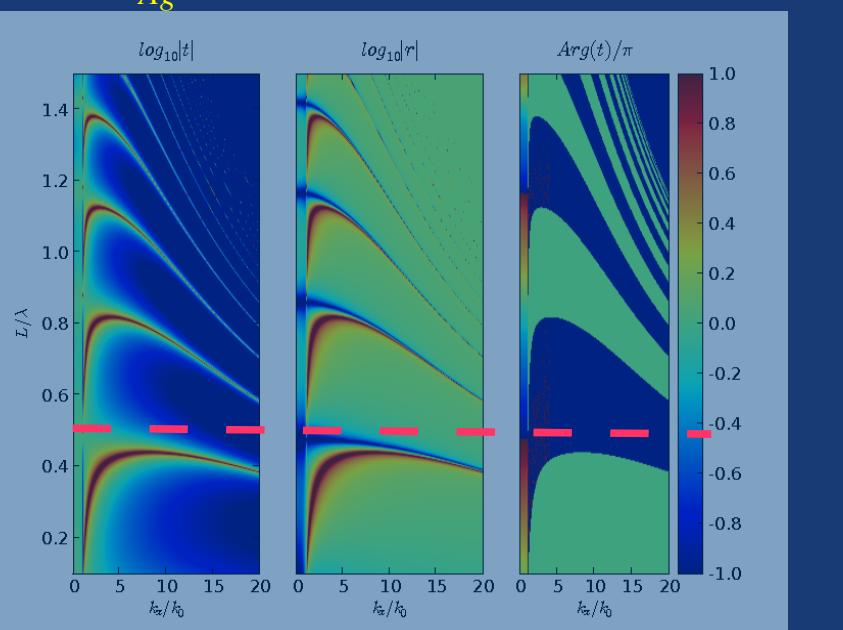
$$\epsilon_{Ag} = -14.68, \epsilon_x = 15.68, N = 20$$

Point Spread Functions

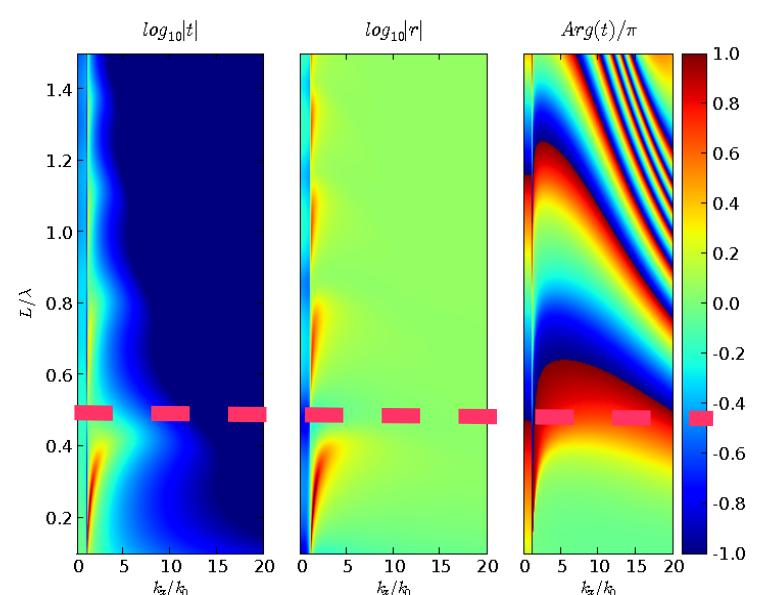


# Canalization regime - with losses

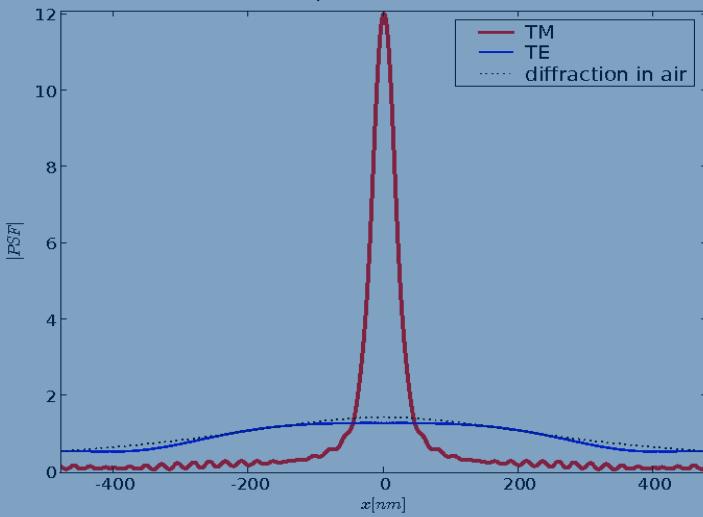
$$\epsilon_{Ag} = -14.68$$



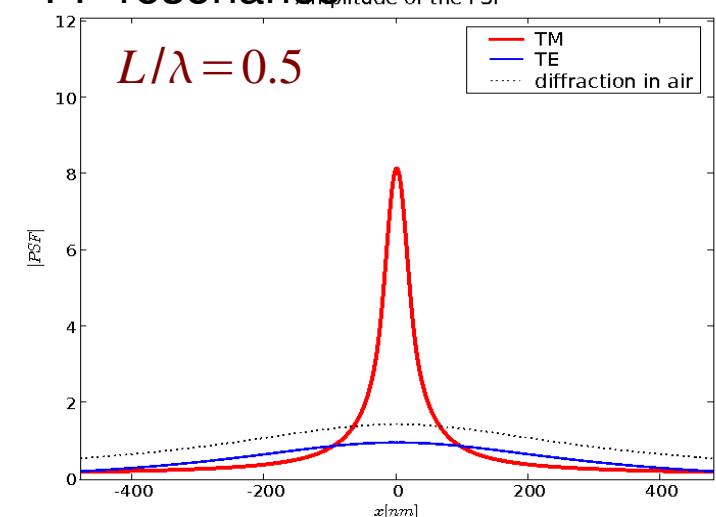
$$\epsilon_{Ag} = -14.68 + 0.65i$$



Amplitude of the PSF



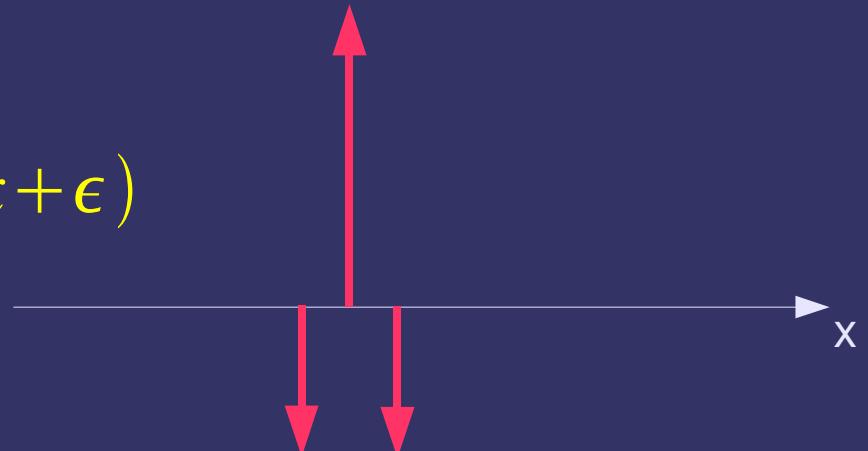
1<sup>st</sup> FP resonance



## 2. Laplace filtering

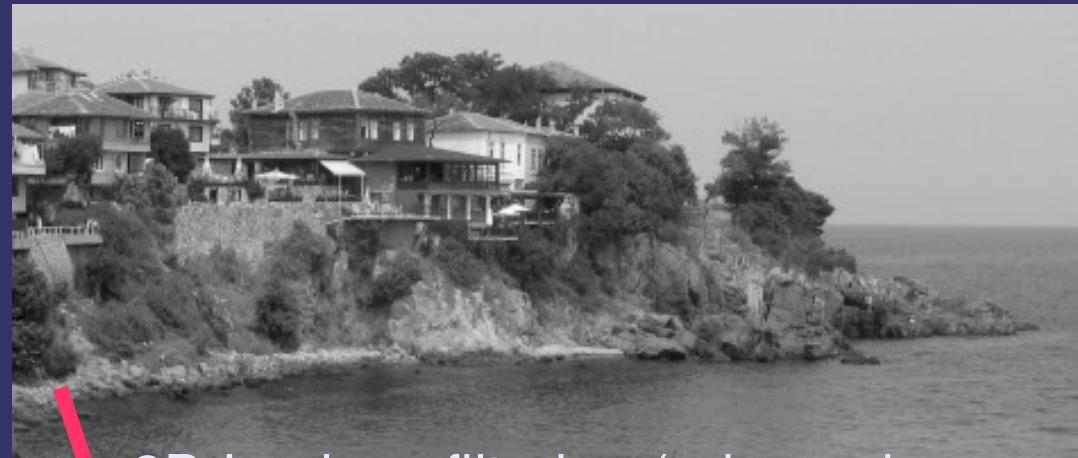
- 1D Laplace filter

$$H(x) = 2\delta(x) - \delta(x-\epsilon) + \delta(x+\epsilon)$$



- 2D discrete Laplace filter:

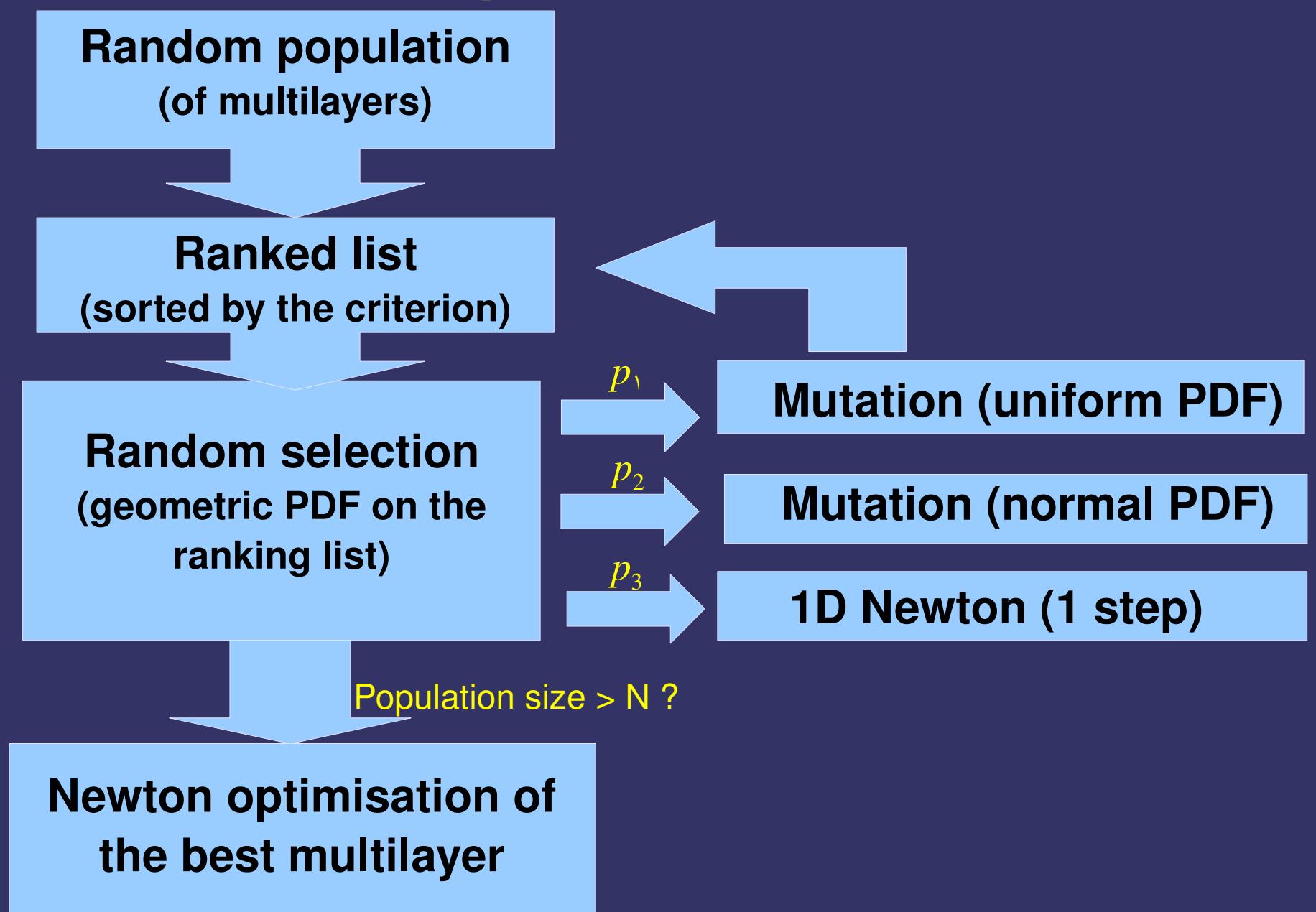
$$H_{ij} = \begin{bmatrix} 0 & -1 & 0 \\ -1 & 4 & -1 \\ 0 & -1 & 0 \end{bmatrix}$$



2D Laplace filtering (edge enhancement)

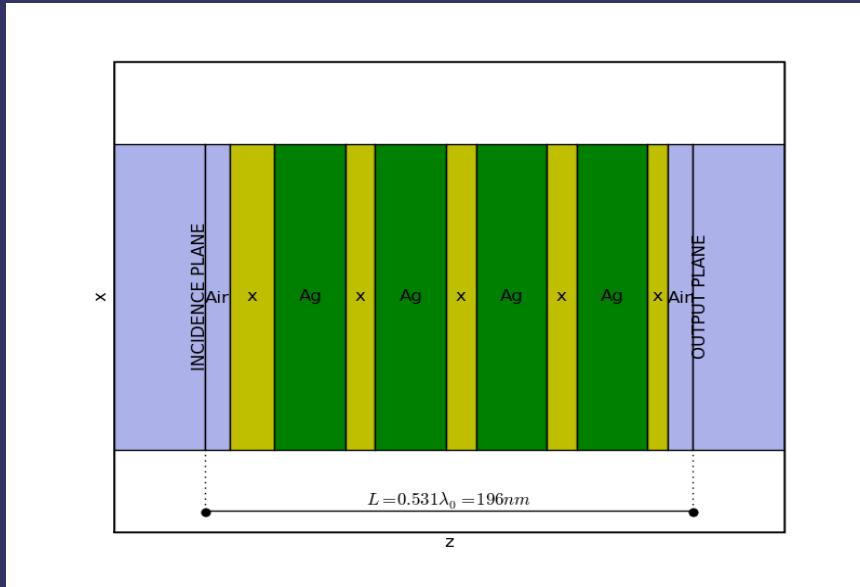


# Optimization of the multilayer with respect to the MTF

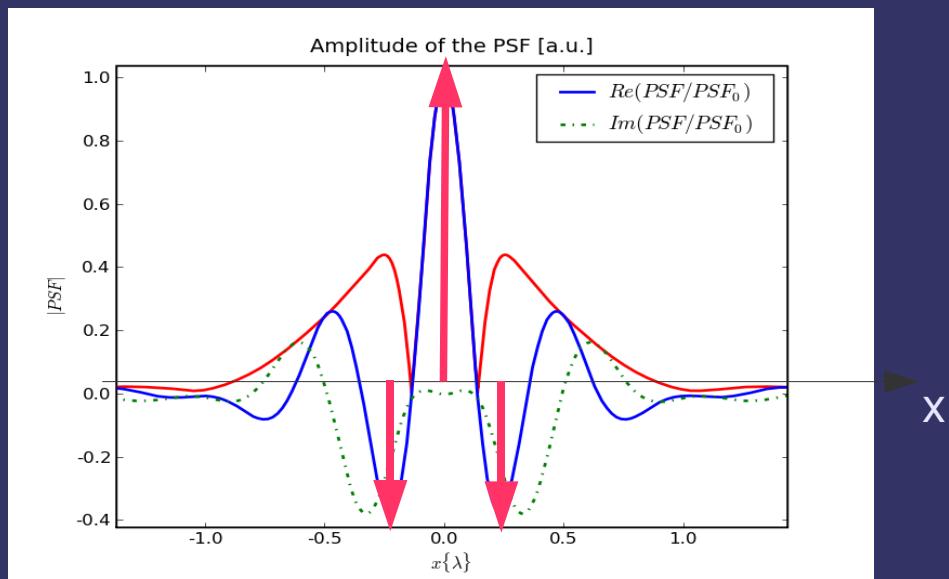


# Optimization of the multilayer for Laplace filtering

## Optimised structure



## Point Spread Function



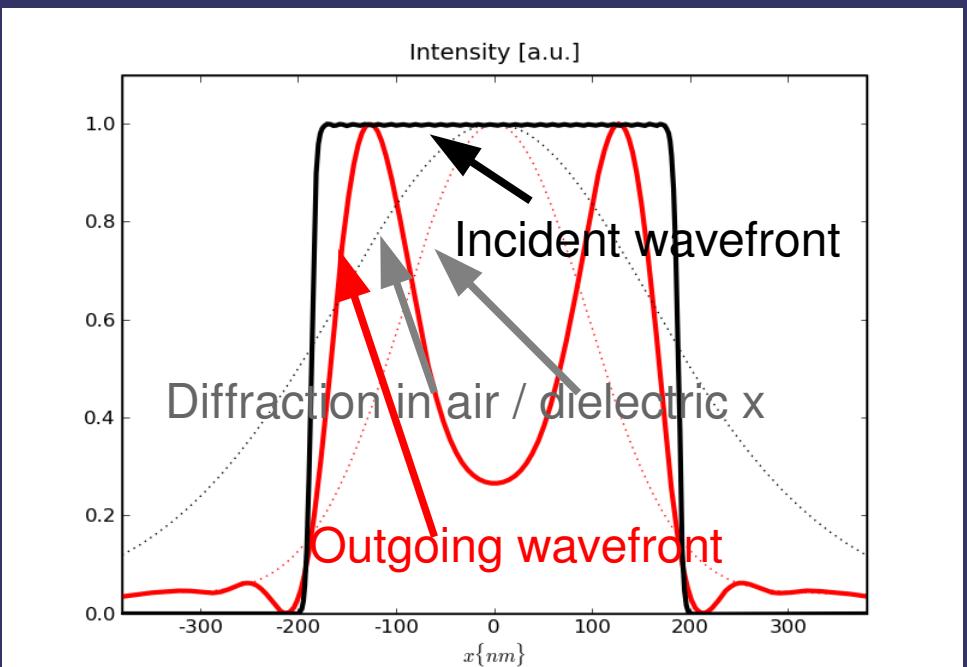
## Formulation of the criterion:

Desired MTF:  $\hat{H}(k_x) = (1 - \cos(\eta_s k_x)) \cdot e^{\frac{-k_x^2}{\eta_v}}$

Light efficiency:  $\overline{|\hat{H}|}_{\text{mean}} \geq \eta_m$

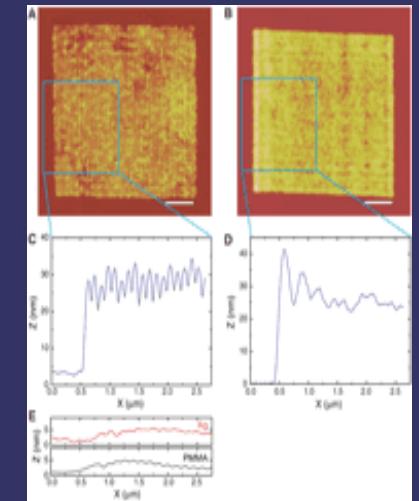
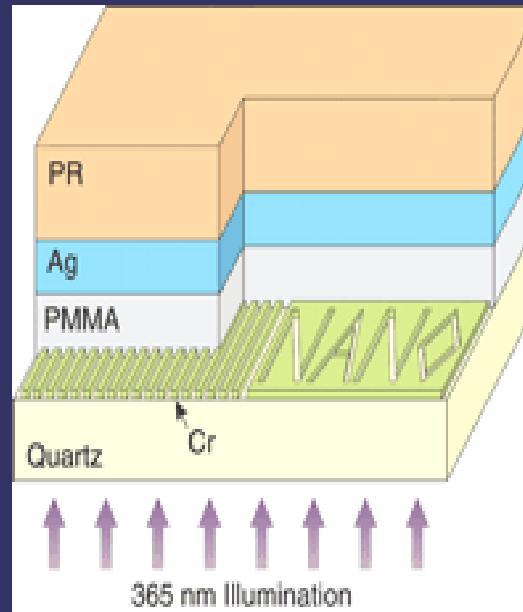
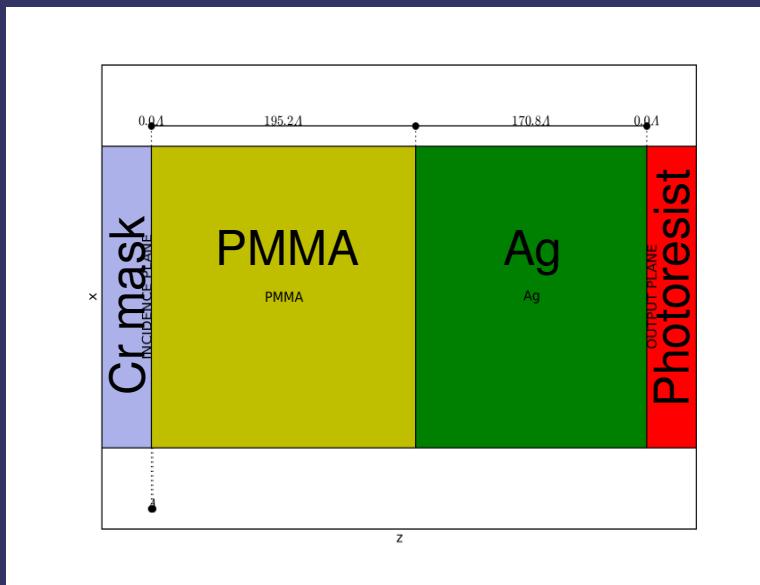
SPP suppression:  $\max(|\hat{H}|) \leq \eta_{\max}$

## Transformation of the incident



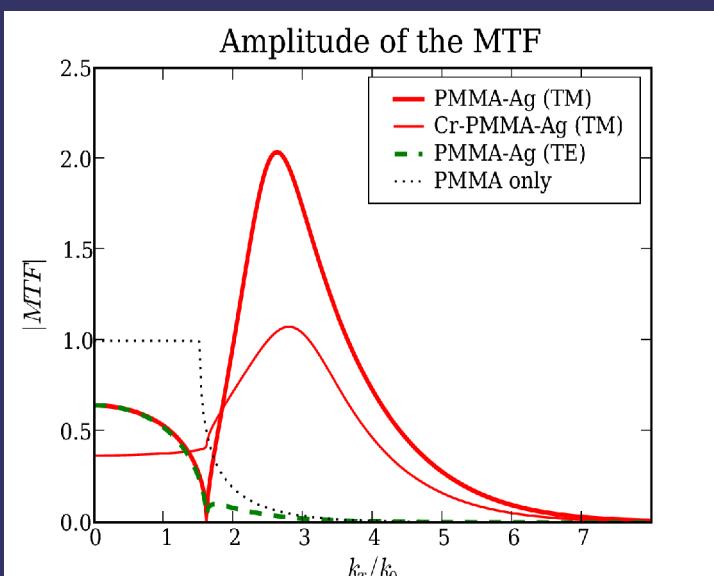
## 2. Laplace filtering (and subwavelength imaging)

### Asymmetric flat lens

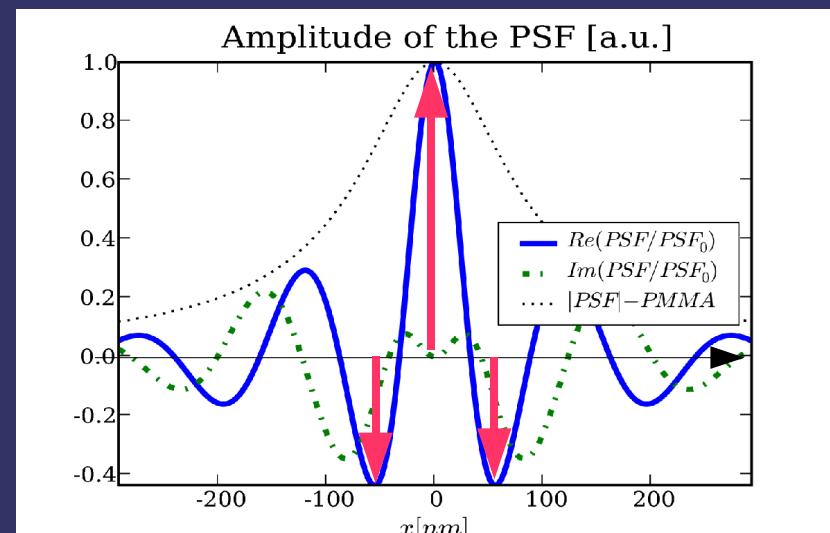


Experimental demonstration: Fang, Lee, Sun, Zhang, Science 2005, and Melville, Blaikie

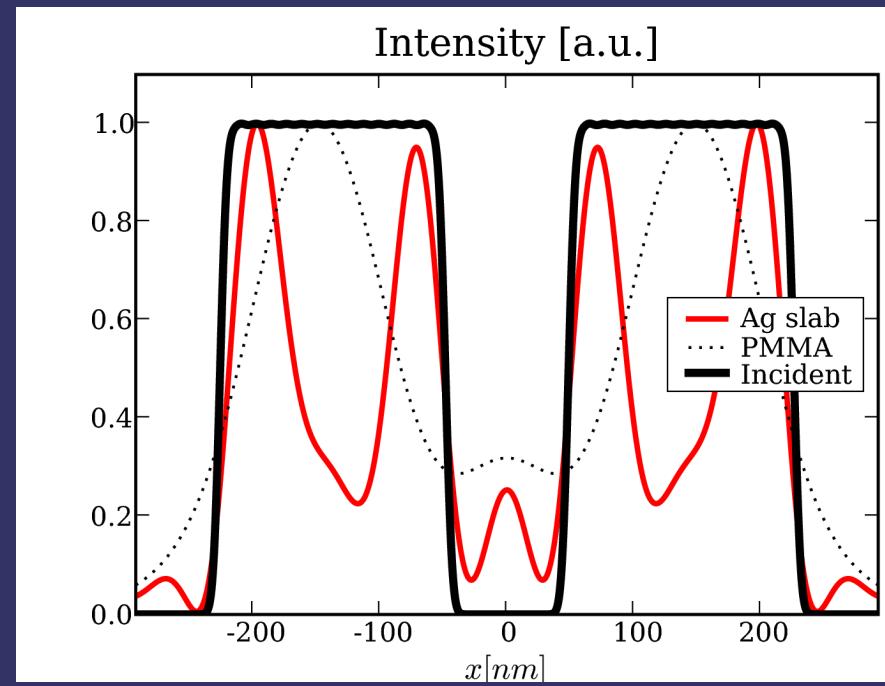
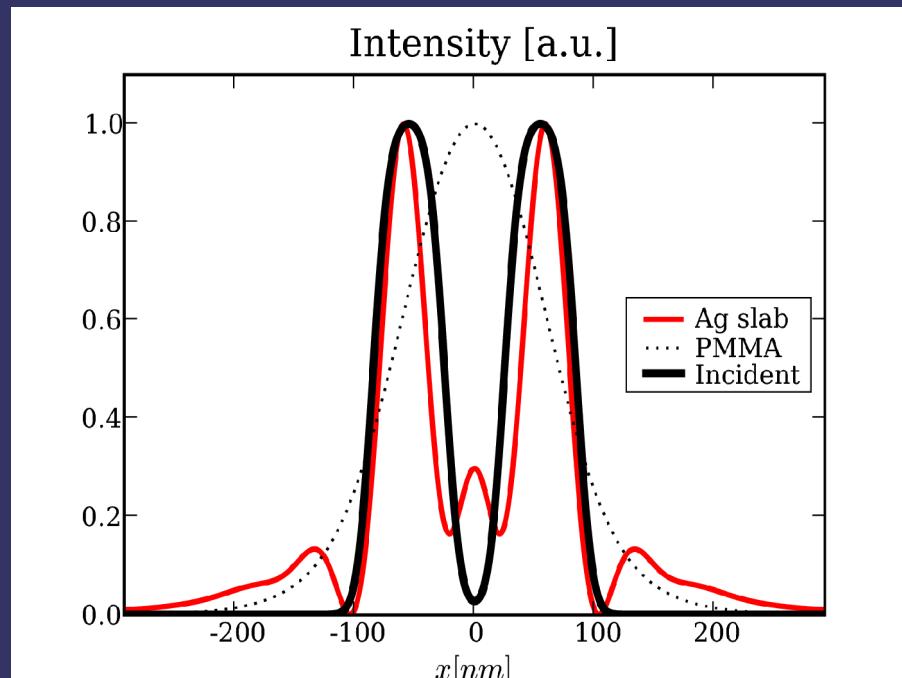
### Modulation Transfer Function



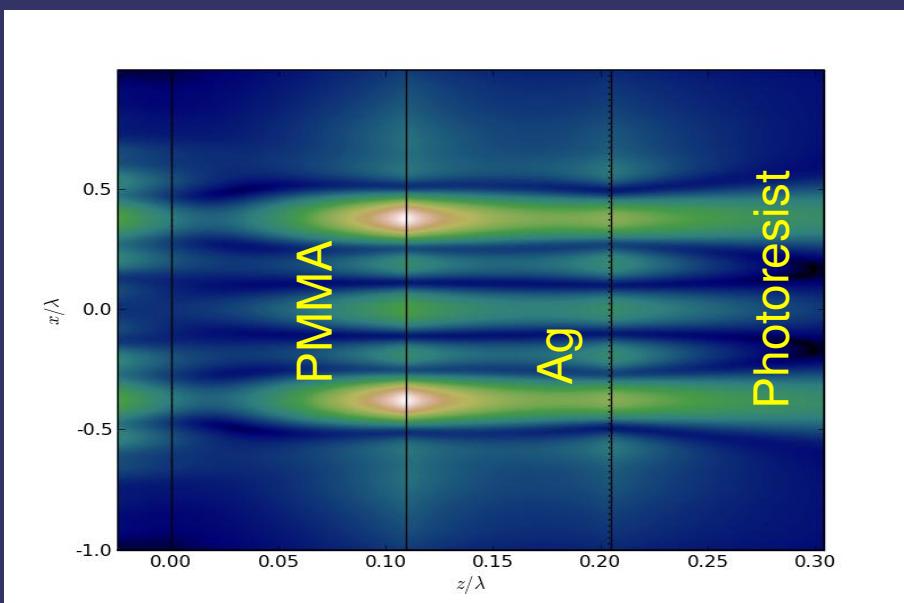
### PSF (Laplace spatial filter)



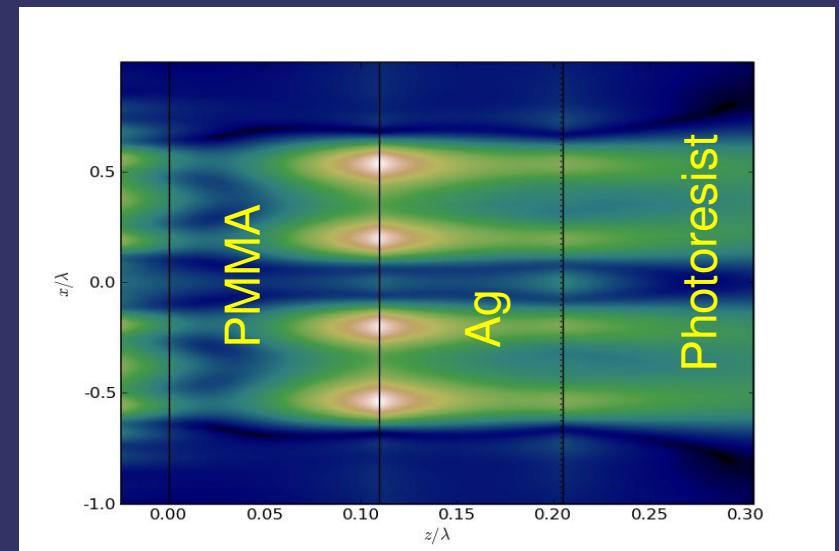
# Response of the asymmetric lens to optical signals of different width



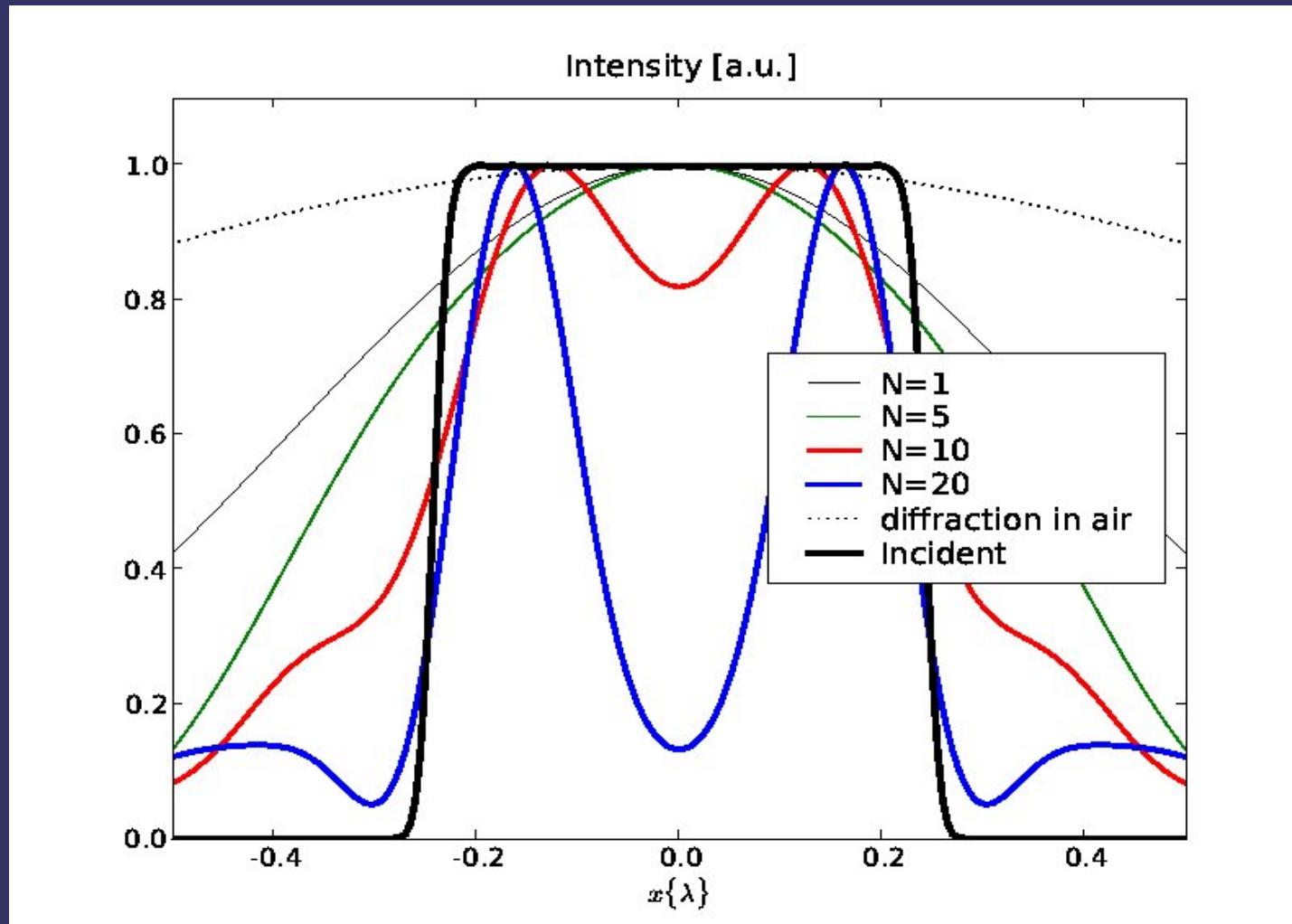
## Sub-wavelength imaging



## Laplace filtering



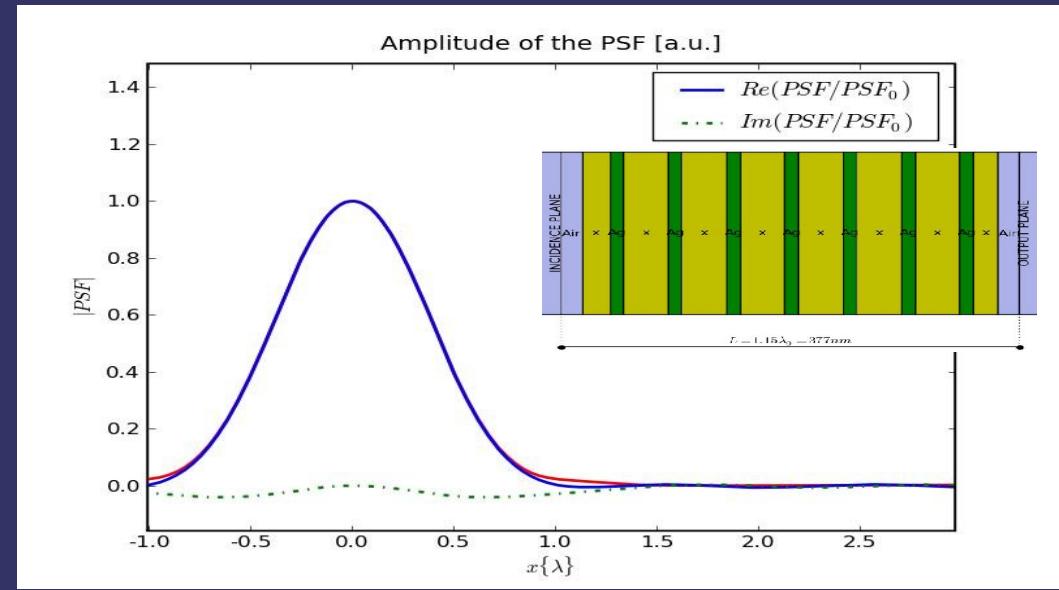
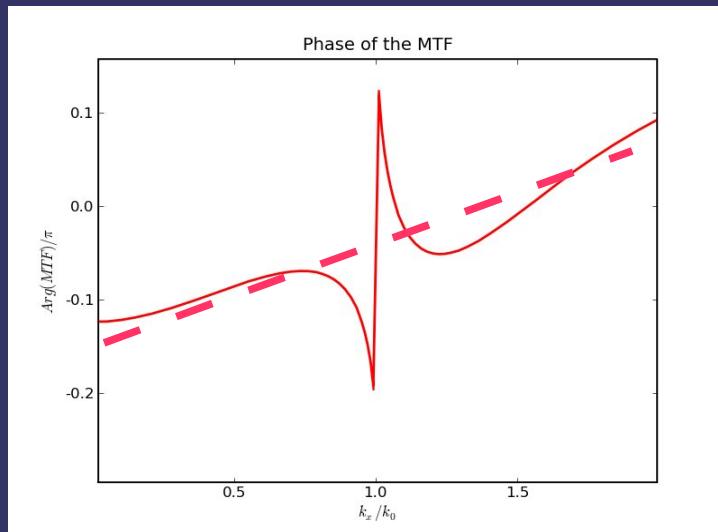
# Response of the Ag-air multilayer to a rectangular incident signal



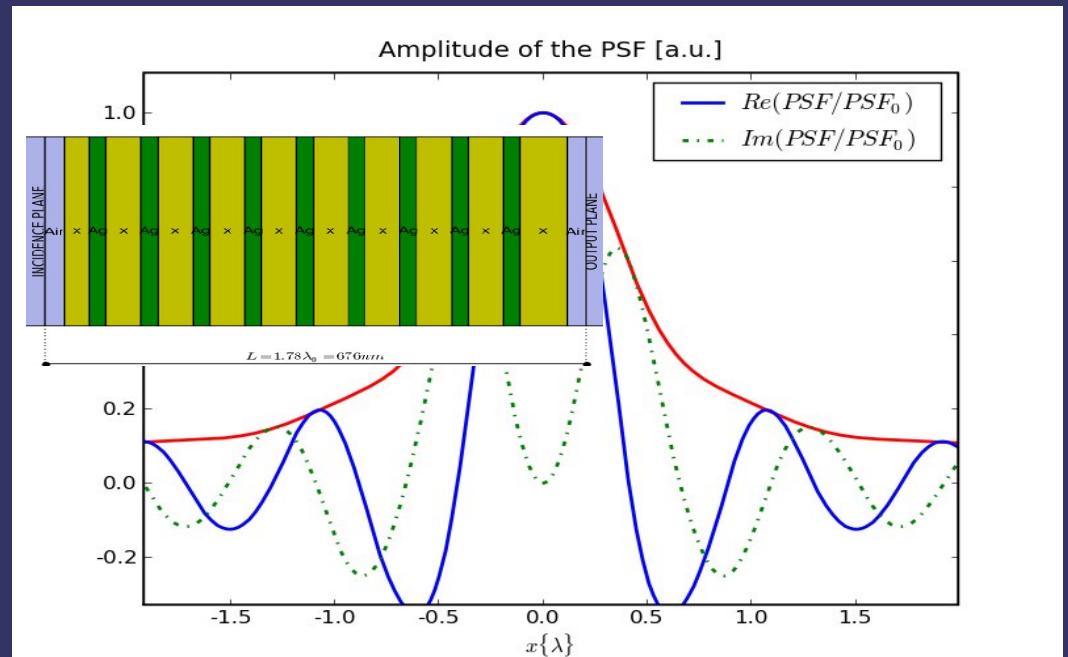
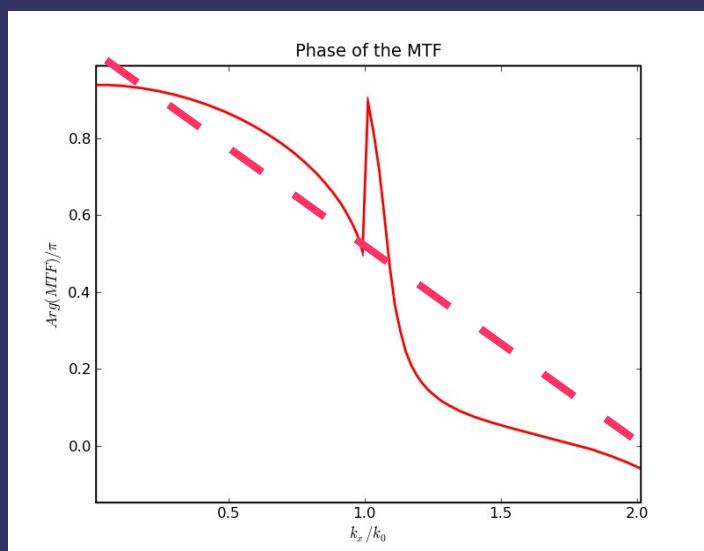
Response varies with the number of layers from imaging to Laplace filtering

# Optimisation for positive and negative diffraction

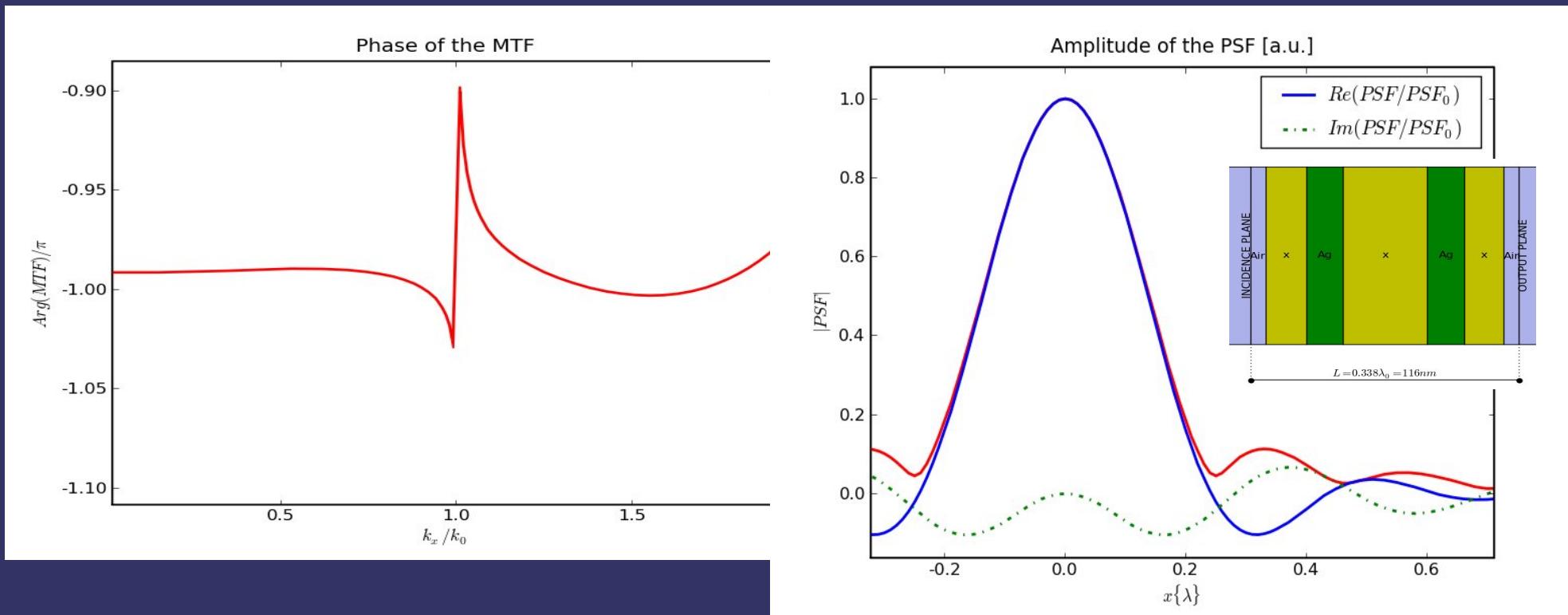
## MTF with a positive phase slope



## MTF with a negative phase slope



# Optimisation for a phase-compensated response

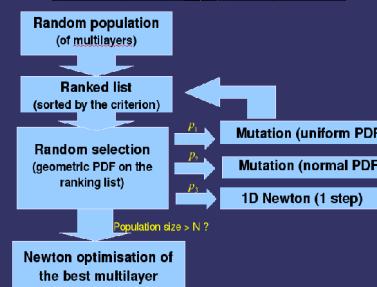
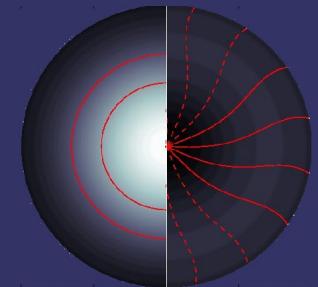
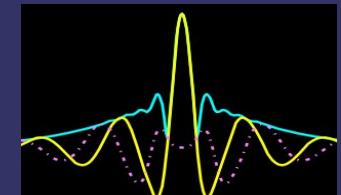
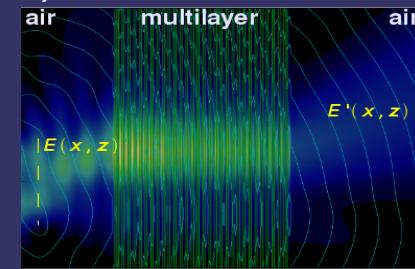
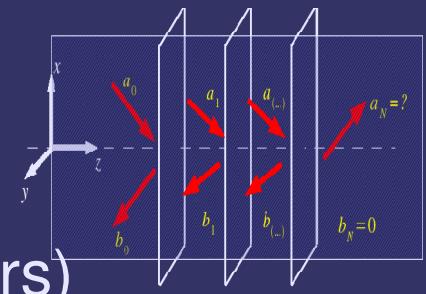


- the width of the response is sub-wavelength
- however phase of Fresnel diffraction is compensated by the multilayer

# Conclusions

## Summary of the numerical method:

- Transfer Matrix Method → for arbitrary multi-layers (with lossy, dispersive, metallic, magnetic, or LHM layers)
- Field decomposition / reconstruction into plane-wave representation (spatial and spectral FT; compatible with modal solvers)
- 1D Point Spread Function computation (spatial FT of the MTF)
- 2D Point Spread Function computation ( $0^{\text{th}}$  and  $2^{\text{nd}}$  order Hankel Tr.)
- Optimization of the multilayer with respect to the desired field transformation (Newton + random search)



# Conclusions

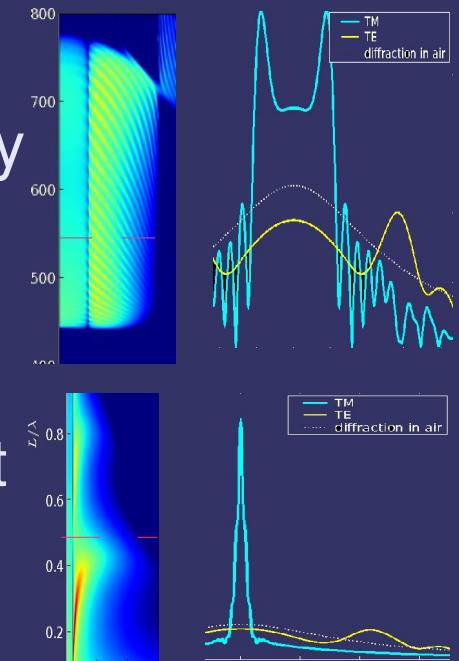
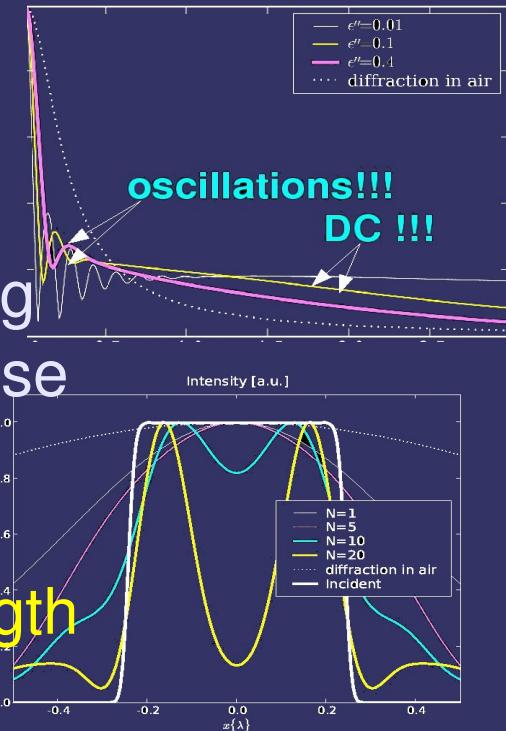
## Summary of results:

- Singularities of the MTF introduce artefacts to imaging (DC, sidelobes, differential behaviour). Losses regularise the imaging system.

- Multilayers can be used for imaging with subwavelength resolution and Laplace filtering. Perhaps for more...

- A variety of transmission characteristics is possible: eg. for resonant tunnelling – one has a broadband widely tailored transparency spectral region, irregular shape of PSF, transparency at distances larger than wavelength.

for canalization – regular PSF; transmission at resonant frequencies only; multilayer width is limited by losses



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