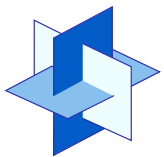


On the compression of ultrashort optical pulses beyond the slowly varying envelope approximation

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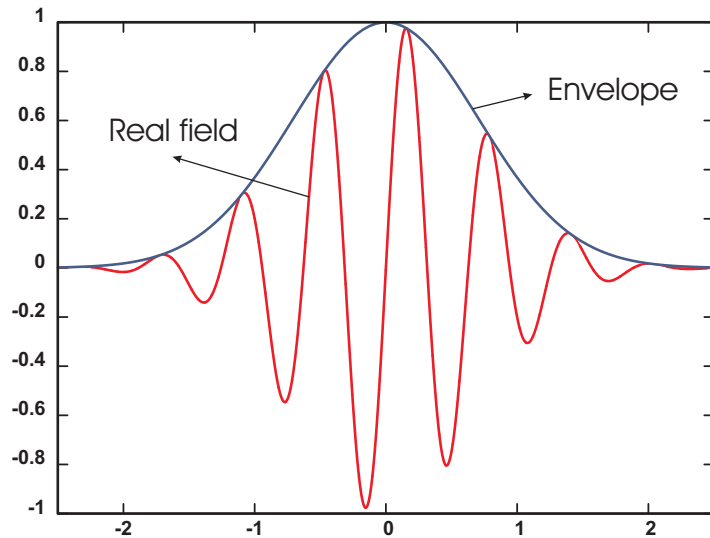
Outlook

- Physical motivation
- Derivation of generalized Short Pulse Equation
- Generalized SPE \rightarrow generalized NSE
- SPE and its properties (conservation law, soliton solutions)
- A multisymplectic integrator for SPE
- Pulse compression
- Conclusions

Physical motivation

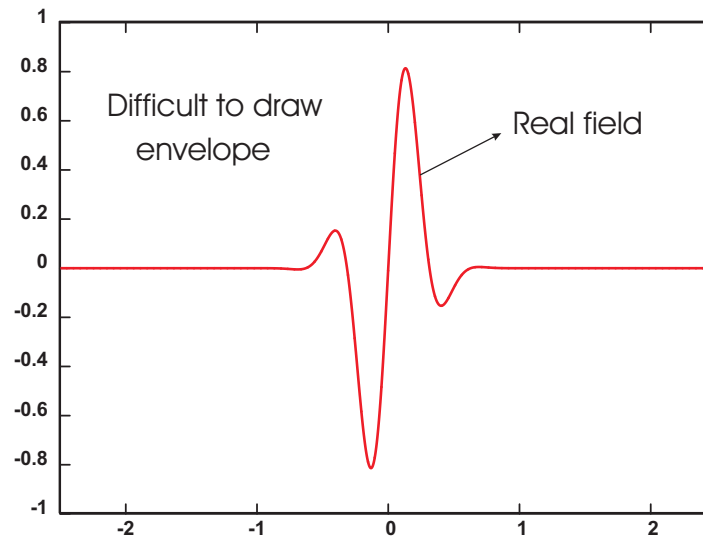
$$\text{NSE: } i\Psi_z = \Psi_{tt} + |\Psi|^2\Psi$$

equation for an envelope of optical field, many-cycle ($\sim 100 fs$) pulses



$$\text{SPE: } u_{zt} = u + (u^3)_{tt}$$

equation for real optical field, ultra-short, few-cycle ($\sim fs$) pulses



- Propagation of optical pulses in Kerr media is usually described by the NSE (an equation for the envelope of the optical field), derived using the slowly varying envelope approximation (SVEA).
- The notion of an envelope of ultrashort pulses whose temporal extent is less than a few cycles of the corresponding wave is doubtful.
- SPE derived by Schäfer and Wayne, Phys. D **196**, 90 (2004) using multiscale method.

Derivation of generalized Short Pulse Equation (1)

- Maxwell equations for a medium free of charges and currents:

$$\nabla \times \mathbf{E}(\mathbf{r}, t) + \frac{\partial}{\partial t} \mathbf{B}(\mathbf{r}, t) = 0$$

$$\nabla \times \mathbf{H}(\mathbf{r}, t) - \frac{\partial}{\partial t} \mathbf{D}(\mathbf{r}, t) = 0$$

$$\nabla \cdot \mathbf{D}(\mathbf{r}, t) = 0$$

$$\nabla \cdot \mathbf{B}(\mathbf{r}, t) = 0$$

- constitutive relations for a non-magnetic medium:

$$\mathbf{D} = \varepsilon_0 \mathbf{E} + \mathbf{P}$$

$$\mathbf{B} = \mu_0 \mathbf{H}$$

\mathbf{E} (\mathbf{H}) - electric (magnetic) fields

\mathbf{D} (\mathbf{B}) - electric and magnetic inductions

ε_0 (μ_0) - vacuum permittivity (permeability)

Derivation of generalized Short Pulse Equation (2)

- induced electric polarization - sum of linear and nonlinear terms,
 $\mathbf{P} = \mathbf{P}^L + \mathbf{P}^{NL}$
- isotropic (second-order nonlinear polarization vanishes) and piecewise uniform medium, $\chi_{ij} \neq \chi_{ij}(\mathbf{r})$ and $\chi_{ijkl} \neq \chi_{ijkl}(\mathbf{r})$

$$P_i^L(\mathbf{r}, t) = \varepsilon_0 \int \chi_{ij}(t - \bar{t}) E_j(\mathbf{r}, \bar{t}) d\bar{t},$$

$$P_i^{NL} = \varepsilon_0 \int \chi_{ijkl}(t - \bar{t}_1, t - \bar{t}_2, t - \bar{t}_3) E_j(\mathbf{r}, \bar{t}_1) E_k(\mathbf{r}, \bar{t}_2) E_l(\mathbf{r}, \bar{t}_3) d\bar{t}_1 d\bar{t}_2 d\bar{t}_3.$$

Using the following facts

- $\nabla \cdot \mathbf{E} = 0$ (it follows from the Maxwell equations in the case of a uniform medium)
- $\nabla \times \nabla \times \mathbf{E} \equiv \nabla(\nabla \cdot \mathbf{E}) - \nabla^2 \mathbf{E}$

one gets the following wave equation:

$$\nabla^2 \mathbf{E} = \mu_0 \frac{\partial^2}{\partial t^2} \mathbf{D} \quad (1)$$

Derivation of generalized Short Pulse Equation (3)

Let us consider the following type of an optical fiber:

- single mode fiber, $V < V_{cr} \approx 2.405$
- weakly guiding, $\Delta \ll 1$
- step-index profiled (as an example)

In such a case we can represent the electric field in the following separable form:

$$\mathbf{E}(\mathbf{r}, \mathbf{t}) = \hat{\mathbf{x}} F(x, y) \Psi(z, t)$$

where

$F(x, y)$ - fundamental mode field

$V \equiv \frac{\omega}{c} \rho n_{core} \sqrt{2\Delta}$ - fiber parameter

$\Delta \equiv \frac{n_{core}^2 - n_{clad}^2}{2n_{core}^2}$ - profile high parameter

$n_{core}(n_{clad})$ - refractive index of the fiber core (cladding)

ρ - fiber radius

an example:

$$n_{core} = 1.445, \quad n_{clad} = 1.45 \quad \rightarrow \Delta \approx 3 \times 10^{-3}$$

$$\rho = 3 \mu m, \quad V < V_{cr} \approx 2.405 \quad \rightarrow \lambda > 0.945 \mu m$$

Derivation of generalized Short Pulse Equation (4)

- assuming that the **nonlinear response of the medium is instantaneous**:

$$\chi_{1111}(t - \bar{t}_1, t - \bar{t}_2, t - \bar{t}_3) = \chi^3 \delta(t - \bar{t}_1) \delta(t - \bar{t}_2) \delta(t - \bar{t}_3)$$

we get:

$$\tilde{\mathbf{D}}(\mathbf{r}, \omega) = \hat{\mathbf{x}} \varepsilon_0 (1 + \tilde{\chi}(\omega)) F(x, y) \tilde{\Psi}(z, \omega) + \hat{\mathbf{x}} \varepsilon_0 \chi^3 (F(x, y) \tilde{\Psi}(z, \omega))^3$$

where $\tilde{\chi}^{(1)}(\omega) \equiv \int \chi_{ij}(t) e^{i\omega t} dt$ and $\tilde{\Psi}(z, \omega) \equiv \int \Psi(z, t) e^{i\omega t} dt$ are Fourier transforms

- **using the method of separation of variables** (applicable in the case of small nonlinearity) the wave equation can be written as the following system of equations:

$$F(x, y) \frac{\partial^2}{\partial z^2} \tilde{\Psi}(z, \omega) = -F(x, y) \beta^2(\omega) \tilde{\Psi}(z, \omega) - F^3(x, y) \chi^3 \frac{\omega^2}{c^2} \tilde{\Psi}^3(z, \omega) \quad (2)$$

$$\Delta_{\perp} F(x, y) = \left(\beta^2(\omega) - \frac{\omega^2}{c^2} (1 + \chi(\omega)) \right) F(x, y) \quad (3)$$

- in Eq. (3) (wave equation for mode $F(x, y)$) nonlinearity has been ignored
- **integrating** Eq. (2) **over the transverse cross section** we get:

$$\frac{\partial^2}{\partial z^2} \tilde{\Psi}(z, \omega) = -\chi^3 \beta^2(\omega) \tilde{\Psi}(z, \omega) - \frac{\omega^2}{c^2} \frac{\iint F^4(x, y) dx dy}{\iint F^2(x, y) dx dy} \tilde{\Psi}^3(z, \omega) \quad (4)$$

Derivation of generalized Short Pulse Equation (5)

- propagation constant for step-index fiber: $\beta(\omega) = \frac{1}{\rho} \left(\frac{V^2}{2\Delta} - U^2 \right)$
- U - core parameter, within Gaussian approximation $U \approx \sqrt{1 + 2 \ln V} < V$
- weakly guiding fibers: $\Delta \ll 1$

Contribution of the waveguiding effect to the propagation constant $\beta(\omega)$ is therefore small and can be neglected,

$$\beta^2(\omega) \approx \frac{\omega^2}{c^2} (1 + \tilde{\chi}(\omega)) = \frac{\omega^2}{c^2} n_L^2(\omega) \quad (5)$$

- square electric field $\Psi^2 [1/V^2] \rightarrow$ pulse power $P_0 U^2 [W/m^2]$

$$\chi^3 \Psi^2(z, \omega) = \chi^3 \Psi_0 U^2(z, \omega) = \frac{8}{3} n_0 n_2 I_0 U^2(z, \omega) = \frac{8}{3} n_0 n_2 \frac{P_0 U^2(z, \omega)}{\iint F^2(x, y) dx dy} \quad (6)$$

$n_0 = n_L(\omega_0)$ - linear refractive index,

$n_2 : n = n_0 + n_2 I$ - second order (Kerr) nonlinear refractive index,

ω_0 - central frequency of the pulse,

$I_0 [W]$ - pulse peak intensity,

$P_0 [W/m^2]$ - pulse peak power.

Derivation of generalized Short Pulse Equation (6)

- Linear refractive index for the transparency range can be well approximated by the Sellmeier formula: (\rightarrow Lorentz model of the medium with neglected absorption)

$$n_L^2(\lambda) = 1 + \chi(\lambda) = 1 + \sum_{i=1}^3 \frac{B_i \lambda^2}{\lambda^2 - \lambda_i^2}$$

for bulk silica: $B_1 = 0.6961663$, $B_2 = 0.4079426$, $B_3 = 0.8974794$
 $\lambda_1 = 0.0684043 \mu m$, $\lambda_2 = 0.1162414 \mu m$, $\lambda_3 = 9.896161 \mu m$.

- Assuming that the frequency range of the pulse is far away from any resonance of the medium, $\lambda_1 < \lambda_2 \ll \lambda \ll \lambda_3$ one can simplify the Sellmeier formula:

$$\chi(\lambda) \approx \chi_0 + \chi_2 \lambda^2 + \chi_4 \lambda^4 + \dots + \chi_{-2}/\lambda^2 + \chi_{-4}/\lambda^4 + \dots$$

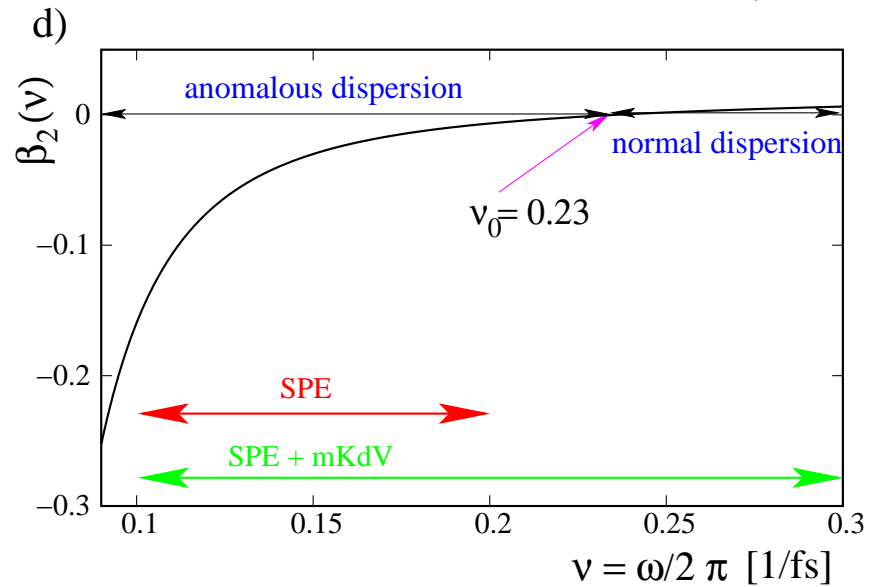
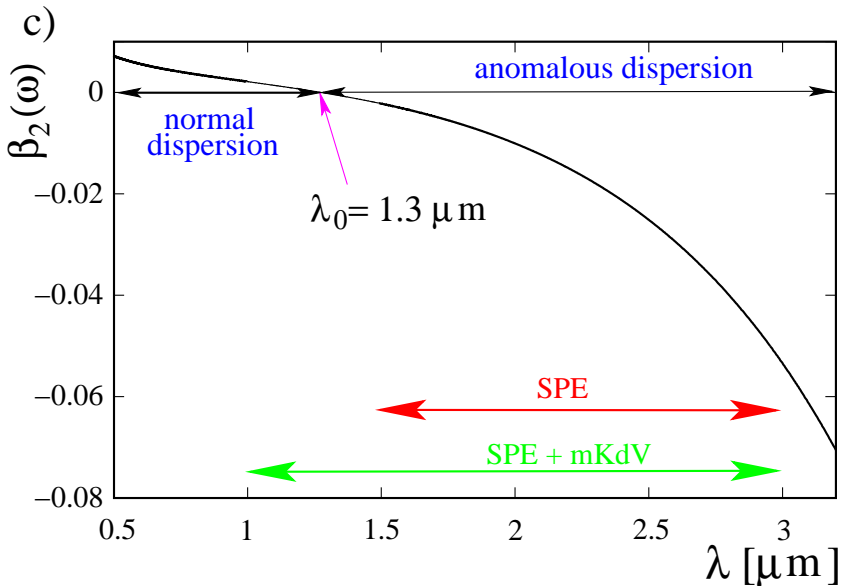
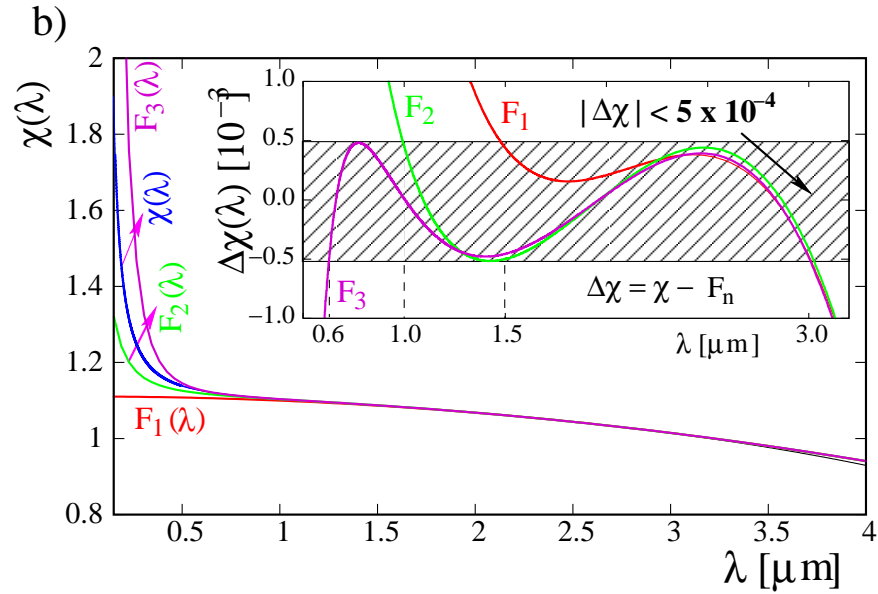
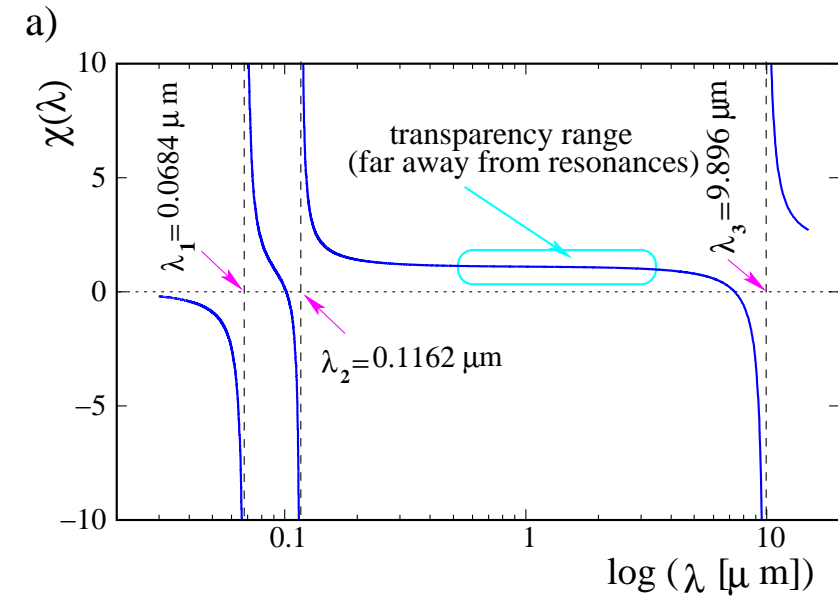
- in the range: $1.5 \mu m - 3.0 \mu m$ (**anomalous dispersion**) we can use approximation: $\chi(\lambda) \approx 1.1104 - 0.01063 \lambda^2$ (error of accuracy 5×10^{-4})
- in the range: $1.0 \mu m - 3.0 \mu m$ (**normal and anomalous dispersion**) we can use approximation $\chi(\lambda) \approx 1.1109 - 0.01054 \lambda^2 + 0.0048/\lambda^2$ (error of accuracy 5×10^{-4})

thus

$$n_L^2 = 1 + \tilde{\chi}(\omega) = 1 + \chi_0 + \bar{\chi}_2 \omega^2 - \bar{\chi}_{-2}/\omega^2 = N_0^2 - 2N_0 c a_1/\omega^2 + 2N_0 c a_2 \omega^2, \quad (7)$$

where $N_0 = \sqrt{1 + \chi_0}$, $a_1 = \frac{\bar{\chi}_{-2}}{2N_0 c}$ and $a_2 = \frac{\bar{\chi}_2}{2N_0 c}$

Derivation of generalized Short Pulse Equation (7)



Derivation of generalized Short Pulse Equation (8)

Applying Fourier transform to Eq. (4) and taking into account formulas (5)- (8) we obtain

$$\frac{\partial^2}{\partial z^2} U(z, t) = \frac{N_0^2}{c^2} \frac{\partial^2}{\partial t^2} U(z, t) + \frac{2N_0 a_1}{c} U(z, t) - \frac{2N_0 a_2}{c} \frac{\partial^4}{\partial t^4} U(z, t) + \frac{2n_0 n_2}{c^2 A_{eff}} P_0 \frac{\partial^2}{\partial t^2} U^3(z, t)$$

where $A_{eff} = \frac{(\iint F^2(x,y) dx dy)^2}{\iint F^4(x,y) dx dy}$ is effective pulse area

Applying

- Galilean transformation: $\tau = t - \frac{N_0}{c} z$ $\left(\frac{\partial^2}{\partial z^2} \rightarrow \frac{N_0^2}{c^2} \frac{\partial^2}{\partial t^2} + \frac{2N_0}{c} \frac{\partial^2}{\partial z \partial t} + \frac{\partial^2}{\partial z^2}, \frac{\partial}{\partial t} \rightarrow \frac{\partial}{\partial \tau} \right)$
- unidirectional propagation, $\frac{\partial^2}{\partial z^2} = 0$

we get the generalized Short Pulse Equation:

$$\frac{\partial^2}{\partial z \partial \tau} U(z, \tau) = a_1 U(z, \tau) - a_2 \frac{\partial^4}{\partial \tau^4} U(z, \tau) + g \frac{\partial^2}{\partial \tau^2} U^3(z, \tau), \quad (8)$$

where $a_1 = \frac{\bar{\chi}_2}{2N_0 c}$, $a_2 = \frac{\bar{\chi}_{-2}}{2N_0 c}$, and $g = \frac{n_0 n_2}{A_{eff}} \frac{P_0}{N_0 c}$

generalized SPE \rightarrow generalized NSE

$$\frac{\partial^2}{\partial z \partial \tau} U(z, \tau) = a_1 U(z, \tau) - a_2 \frac{\partial^4}{\partial \tau^4} U(z, \tau) + g \frac{\partial^2}{\partial \tau^2} U^3(z, \tau),$$

- Ansatz: $U = \frac{1}{2} \left(\mathcal{E} e^{-i(\bar{k}_0 z + \omega_0 t)} + c.c. \right)$, ω_0 - any frequency
- Using: $k(\omega) = a_2 \omega^3 - \frac{a_1}{\omega}$; $\beta_k := \frac{1}{k!} \frac{\partial^k k(\omega)}{\partial \omega^k} \Big|_{\omega_0}$; $k = 1, 2, \dots$; $\beta_0 = k(\omega_0) - \beta_1 \omega_0$
- Defining: $T = \tau + \beta_1 z$ and keeping only terms $\sim e^{-i\omega_0 T}$ one gets:

$$\begin{aligned} \frac{\partial \mathcal{E}}{\partial z} = & \sum_{k=2}^{\infty} i^{k-1} \beta_k \frac{\partial^k \mathcal{E}}{\partial T^k} - i\gamma_1 |\mathcal{E}|^2 \mathcal{E} + \gamma_2 \frac{\partial}{\partial T} (|\mathcal{E}|^2 \mathcal{E}) \\ & + \gamma_2 \left(\mathcal{E}^2 \frac{\partial \mathcal{E}}{\partial T} - i\omega_0 \mathcal{E}^3 \right) e^{-2(\bar{\beta}_0 z + \omega_0 T)} \end{aligned}$$

which represents the standard NSE with additional terms (infinite number of dispersive terms, self-steepening and third-harmonic generation)

- J. Dudley \rightarrow NSE with third-harmonic generation term

SPE and its properties

generalized SPE:

$$\frac{\partial^2}{\partial z \partial \tau} U(z, \tau) = a_1 U(z, \tau) - a_2 \frac{\partial^4}{\partial \tau^4} U(z, \tau) + g \frac{\partial^2}{\partial \tau^2} U^3(z, \tau),$$

$a_1 = 0$ (normal dispersion regime) \rightarrow mKdV:

$$\frac{\partial^2}{\partial z \partial \tau} U(z, \tau) = -a_2 \frac{\partial^4}{\partial \tau^4} U(z, \tau) + g \frac{\partial^2}{\partial \tau^2} U^3(z, \tau),$$

$a_2 = 0$ (anomalous dispersion regime) \rightarrow SPE:

$$\frac{\partial^2}{\partial z \partial \tau} U(z, \tau) = a_1 U(z, \tau) + g \frac{\partial^2}{\partial \tau^2} U^3(z, \tau),$$

mKdV and SPE are integrable equations

Integrability of the SPE proven independently by:

- Sakovich & Sakovich \rightarrow zero curvature representation (J. Phys. Soc. Jpn. **74** (2005) 239)
- Brunelli \rightarrow bi-hamiltonian system (Phys. Lett. A **353** 475-478 (2006))
- $\frac{\partial}{\partial z} \int_{-\infty}^{\infty} U^2 d\bar{\tau} = 0$ (conservation of energy)
- $\int_{-\infty}^{\infty} U d\bar{\tau} = 0 \rightarrow \hat{U}(z, \omega = 0) = 0$
(no zero-frequency component - a property of optical fields)

The breather solution of SPE

- Sakovich & Sakovich and Brunelli have shown that SPE is integrable
- Sakovich & Sakovich: the SPE transforms to the sine-Gordon equation by a chain of transformations. When applied to the breather solution of the sine-Gordon equation:

$$\phi = -4 \arctan \left(\frac{m \sin(\psi)}{n \cosh(\theta)} \right)$$

it gives a breather solutions of SPE:

$$u(\tau) = 4mn \frac{m \sin(\psi) \sinh(\theta) + n \cos(\psi) \cosh(\theta)}{m^2 \sin^2(\psi) + n^2 \cosh^2(\theta)},$$

where

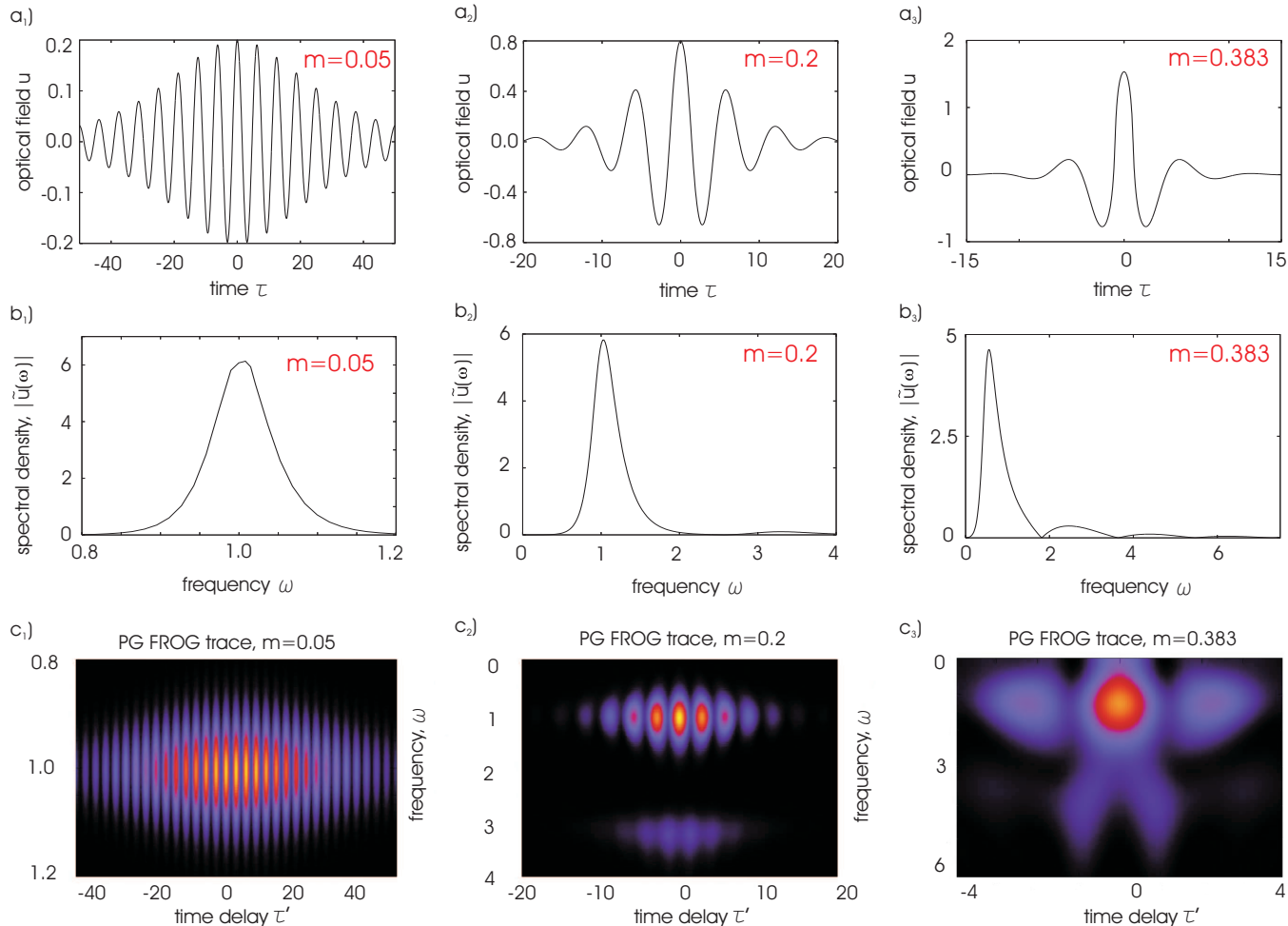
$$\tau = t + 2mn \frac{m \sin(2\psi) - n \sinh(2\theta)}{m^2 \sin^2(\psi) + n^2 \cosh^2(\theta)},$$

$$\theta = m(t + z),$$

$$\psi = n(t - z),$$

$$n = \sqrt{1 - m^2}.$$

The breather solution of SPE



- small m - long pulses and small amplitude E_s , for $m \rightarrow 0$ soliton envelope \rightarrow sech-shape, $U \approx 4m \cos(t - z) \operatorname{sech}(m(t - z))$
- short pulses - broad spectrum with higher-harmonics peaks
- $m > m_{crit} \approx 0.383$ - singular solution (non single-valued)

The multisymplectic formulation of SPE (1)

The short pulse equation

$$u_{xt} = u + \frac{1}{6}(u^3)_{xx} \quad (9)$$

can be represented in the form

$$\phi_{xt} - \phi - \frac{1}{6}(\phi^3)_x = 0 \quad (10)$$

if one introduces the potential

$$u = \phi_x. \quad (11)$$

This equation can be derived from the first order Lagrangian

$$L = \frac{1}{2}\phi_t\phi_x - \frac{1}{24}\phi_x^4 + \frac{1}{2}\phi^2. \quad (12)$$

The multisymplectic formulation of SPE (2)

Using the standard prescription of the multisymplectic (De Donder-Weyl) Hamiltonian formalism, we introduce the polymomenta

$$\begin{aligned} p^t &:= \frac{\partial L}{\partial \phi_t} = \frac{1}{2} \phi_x \\ p^x &:= \frac{\partial L}{\partial \phi_x} = \frac{1}{2} \phi_t - \frac{1}{6} \phi_x^3 \end{aligned} \quad (13)$$

and the (De Donder-Weyl) Hamiltonian

$$H_{DW} := p^t \phi_t + p^x \phi_x - L = 2p^x p^t + \frac{2}{3} (p^t)^4 - \frac{1}{2} \phi^2. \quad (14)$$

Then the multisymplectic (De Donder-Weyl) Hamiltonian equations take the form:

$$\begin{aligned} \partial_x p^x + \partial_t p^t &= -\frac{\partial H}{\partial \phi} = \phi \\ \partial_x \phi &= \frac{\partial H}{\partial p^x} = 2p^t \\ \partial_t \phi &= \frac{\partial H}{\partial p^t} = 2p^x + \frac{8}{3} (p^t)^3. \end{aligned}$$

A multisymplectic integrator for the SPE

It is obtained from the discretization of DW Hamiltonian equations using the midpoint method in both x and t directions:

$$\begin{aligned}\phi_{i,j} &\approx \phi(i\Delta x, j\Delta t), \\ \phi_{i+1,j+1/2} &:= \frac{1}{2}(\phi_{i,j} + \phi_{i,j+1}) \\ \phi_{i+1/2,j+1/2} &:= \frac{1}{4}(\phi_{i,j} + \phi_{i,j+1} + \phi_{i+1,j} + \phi_{i+1,j+1})\end{aligned}\tag{15}$$

We obtain:

$$\begin{aligned}\frac{p_{i+1,j+1/2}^x - p_{i,j+1/2}^x}{\Delta x} + \frac{p_{i+1/2,j+1}^t - p_{i+1/2,j}^t}{\Delta t} &= \phi_{i+1/2,j+1/2} \\ \frac{\phi_{i+1,j+1/2} - \phi_{i,j+1/2}}{\Delta x} &= 2p_{i+1/2,j+1/2}^t\end{aligned}\tag{16}$$

$$\frac{\phi_{i+1/2,j+1} - \phi_{i+1/2,j}}{\Delta t} = 2p_{i+1/2,j+1/2}^x + \frac{8}{3}(p_{i+1/2,j+1/2}^t)^3\tag{17}$$

THEOREM: *The above integrator fulfils the discretized multisymplectic conservation law.*

A multisymplectic integrator for SPE

By a tedious but straightforward calculation we obtain:

$$(p_{i+1,j+1}^t)^3 + 3p^t(i++) (p_{i+1,j+1}^t)^2 + 3((p^t(i++))^2 - 4\Delta_{xt}) (p_{i+1,j+1}^t)^2 - \frac{24}{\Delta_t}(\phi_{i,j+1} - \phi_{i+1,j}) + 24\Delta_x \phi_{i,j+\frac{1}{2}} - 12\Delta_{xt} p_{i,j+1}^t + 12\Delta_x^2 p_{i+\frac{1}{2},j}^t + 24(p_{i,j}^x + p_{i,j+1}^x) + (p^t(i++))^3 = 0$$

$$\phi_{i+1,j+1} = \phi(i+-) + \Delta_x p^t(i++) + \Delta_x p_{i+1,j+1}^t$$

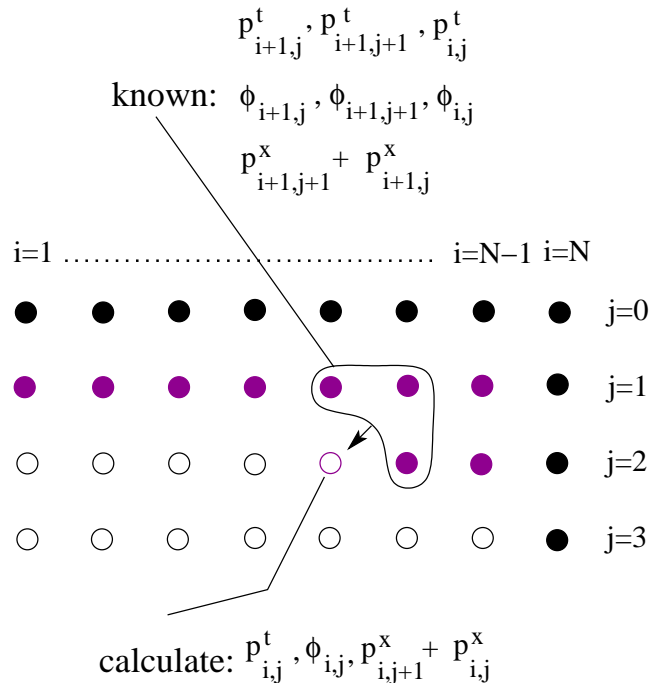
$$p_{i+1,j+1}^x + p_{i+1,j}^x = p_{i,j+1}^x + p_{i,j}^x + \frac{\Delta_x}{\Delta_t} p^t(i-+) - \frac{\Delta_x}{\Delta_t} p^t(i+1,j+1) + \frac{\Delta_x}{2} \phi(i++) + \frac{\Delta_x}{2} \phi_{i+1,j+1}$$

where:

$$p^t(i \pm +) \equiv p_{i,j}^t \pm p_{i,j+1}^t + p_{i+1,j}^t$$

$$\phi(i++) \equiv \phi_{i,j} + \phi_{i,j+1} - \phi_{i+1,j}$$

$$\Delta_{xt} \equiv \frac{2\Delta_x}{\Delta_t} - \frac{(\Delta_x)^2}{2}$$



Comparison with other numerical methods (1)

- Split-Step

- dispersive term: $\frac{\partial}{\partial z}\widehat{U}(z, \omega) = \frac{i}{\omega}\widehat{U}(z, \omega)$ (\widehat{U} - Fourier Transform of U)

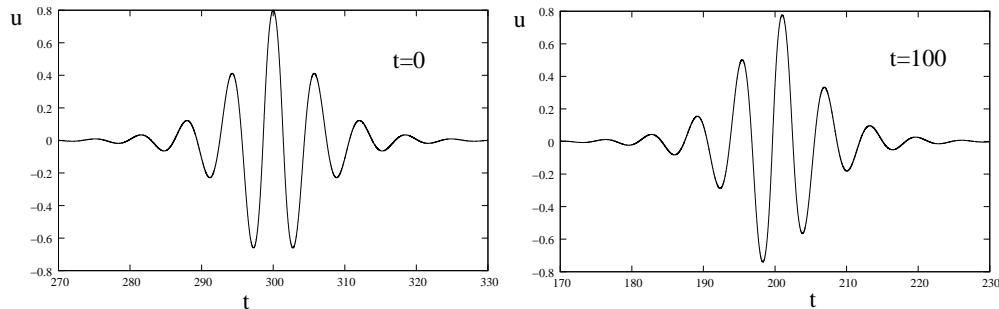
- nonlinear term: $\frac{\partial}{\partial z}U(z, \tau) = 3gU\frac{\partial U}{\partial z} \cdot U$

- Pseudospectral method using Runge-Kutta method

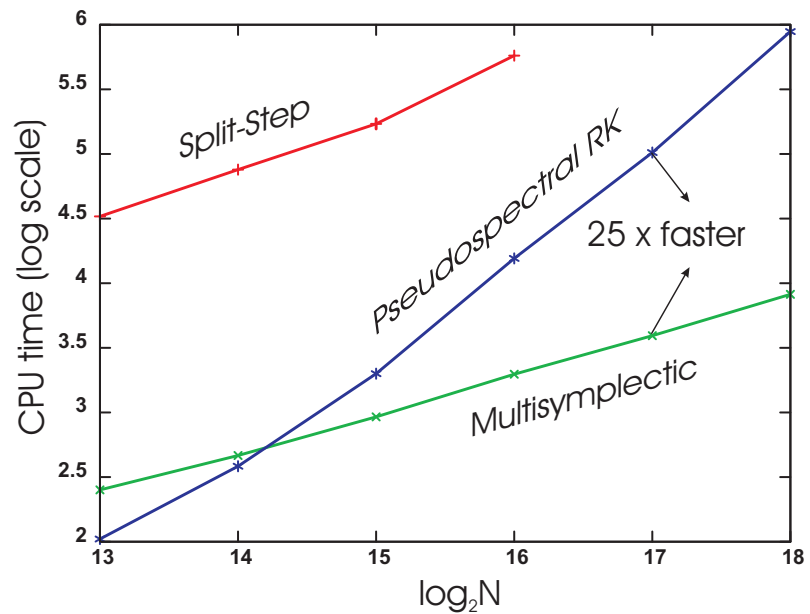
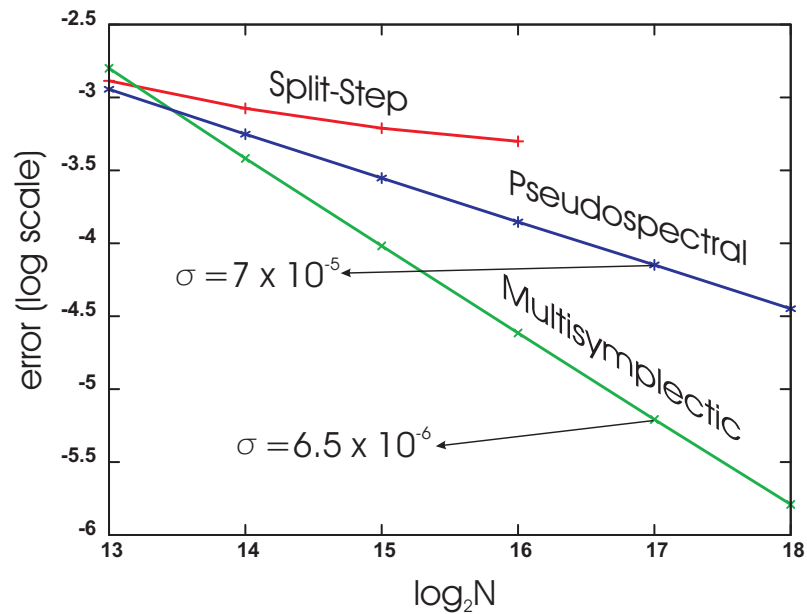
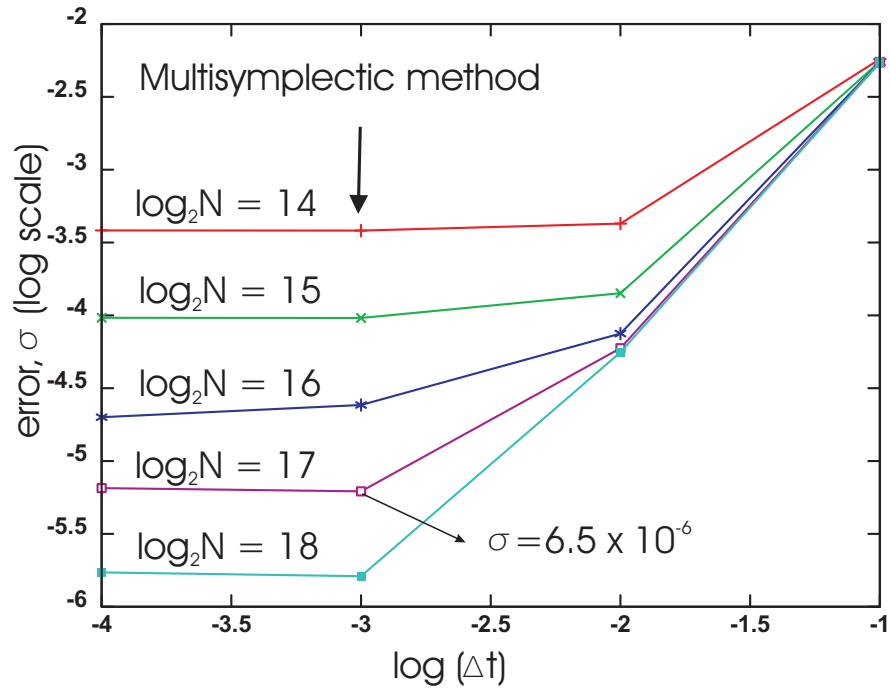
$$\frac{\partial}{\partial z}\widehat{U}(z, \omega) = \frac{i}{\omega}\widehat{U}(z, \omega) - ig\omega\{\widehat{U^3}\}(z, \omega)$$

- explicit FD

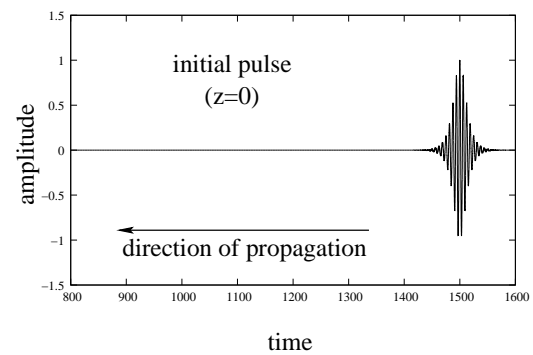
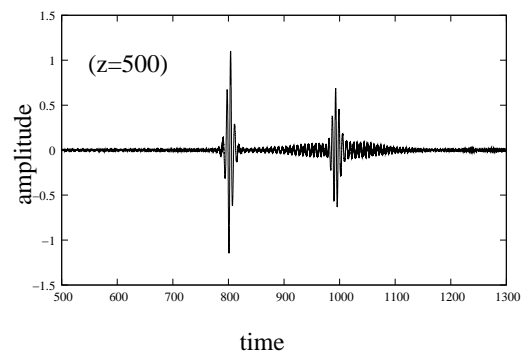
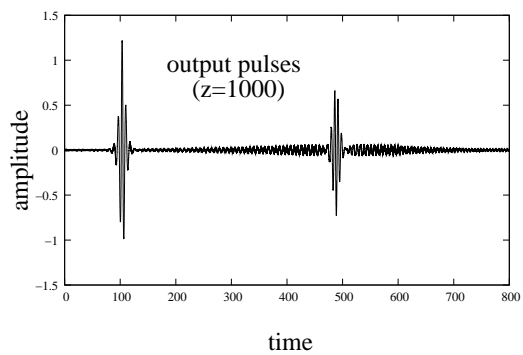
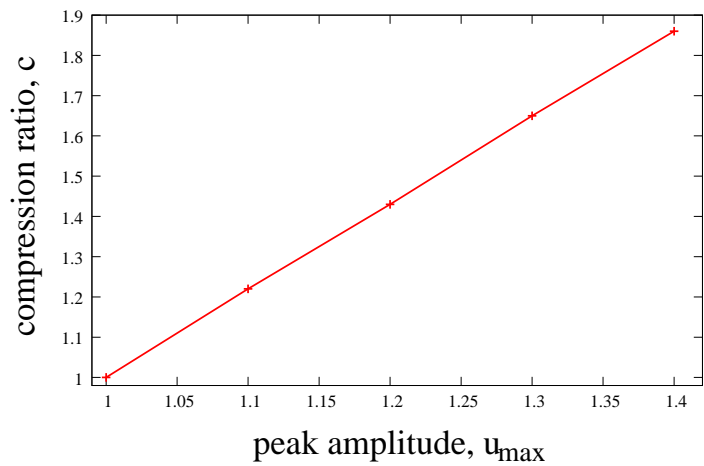
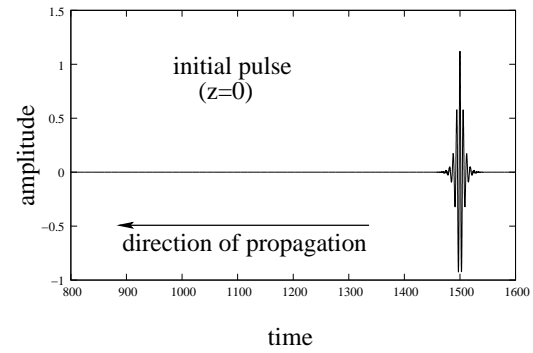
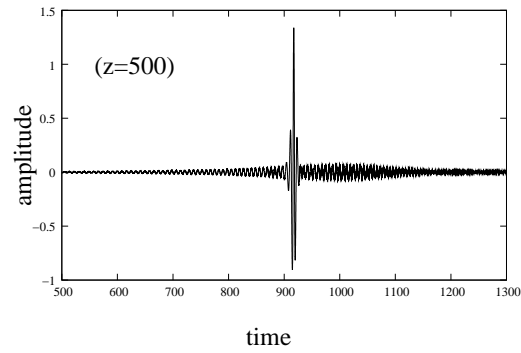
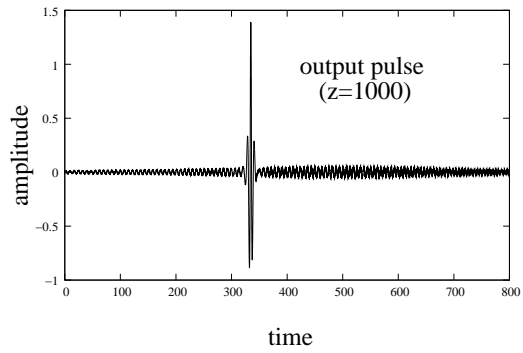
Numerical test:



Comparison with other numerical methods (2)



Pulse compression



Conclusions

- Model equations for ultrashort (few-cycle) optical pulses propagating in nonlinear optical fibers → Short Pulse Equation (equation for real optical field, no slowly varying envelope approximation)
- SPE → NSE (with infinite number of dispersive terms, SPM-term, self-steepening-term, THG-term)
- Soliton solutions of the SPE (Sakovich & Sakovich)
- Effective numerical integrator for the SPE based on the multisymplectic formulation (25 times faster and an order of magnitude more precise than pseudospectral Runge-Kutta method)
- Compression: linear dependence of the compression factor on the peak amplitude